

Parvalbumin⁺ and Npas1⁺ Pallidal Neurons Have Distinct Circuit Topology and Function

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The external globus pallidus (GPe) is a critical node within the basal ganglia circuit. Phasic changes in the activity of GPe neurons during movement and their alterations in Parkinson's disease (PD) argue that the GPe is important in motor control. Parvalbumin-positive (PV⁺) neurons and Npas1⁺ neurons are the two principal neuron classes in the GPe. The distinct electrophysiological properties and axonal projection patterns argue that these two neuron classes serve different roles in regulating motor output. However, the causal relationship between GPe neuron classes and movement remains to be established. Here, by using optogenetic approaches in mice (both males and females), we showed that PV⁺ neurons and Npas1⁺ neurons promoted and suppressed locomotion, respectively. Moreover, PV⁺ neurons and Npas1⁺ neurons are under different synaptic influences from the subthalamic nucleus (STN). Additionally, we found a selective weakening of STN inputs to PV⁺ neurons in the chronic 6-hydroxydopamine lesion model of PD. This finding reinforces the idea that the reciprocally connected GPe–STN network plays a key role in disease symptomatology and thus provides the basis for future circuit-based therapies.

Key words: 6-OHDA; basal ganglia; globus pallidus; motor control; Parkinson's disease; subthalamic nucleus

Significance Statement

The external pallidum is a key, yet an understudied component of the basal ganglia. Neural activity in the pallidum goes awry in neurologic diseases, such as Parkinson's disease. While this strongly argues that the pallidum plays a critical role in motor control, it has been difficult to establish the causal relationship between pallidal activity and motor function/dysfunction. This was in part because of the cellular complexity of the pallidum. Here, we showed that the two principal neuron types in the pallidum have opposing roles in motor control. In addition, we described the differences in their synaptic influence. Importantly, our research provides new insights into the cellular and circuit mechanisms that explain the hypokinetic features of Parkinson's disease.

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Author contributions: A.P. conceived the study. A.P. and Q.C. designed and conducted the electrophysiological measurements. A.P. and Q.C. designed and conducted the behavioral experiments. E.A. performed pilot behavioral experiments. H.S.X. performed pilot experiments on the anatomical properties of the STN–GPe input. AP wrote the analysis scripts for *in vivo* and *ex vivo* experiments. A.P., B.L.B., I.F., and S.C. performed histological analysis. A.W.H., T.N.L., and S.M.B. offered specialized reagents and technical expertise. A.P. and C.S.C. wrote the manuscript with input from all co-authors. C.S.C. designed, directed, and supervised the project. All authors reviewed and edited the manuscript.

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Introduction

The basal ganglia are involved in motor control and adaptive behavior (Mink and Thach, 1991b; DeLong and Wichmann, 2007; Graybiel, 2008; Pennartz et al., 2009; Redgrave et al., 2010; Ito and Doya, 2011; Nambu and Tachibana, 2014; Jahanshahi et al., 2015; Dudman and Krakauer, 2016; Mink, 2018; Cox and Witten, 2019; Klaus et al., 2019). By providing a wide projection to all structures within the basal ganglia, the external globus pallidus (GPe) is theorized to critically influence information processing within this macrocircuit (Albin et al., 1989, 1995; DeLong, 1990; Parent and Hazrati, 1995; Mink, 1996; Bergman et al., 1998; Smith et al., 1998; DeLong and Wichmann, 2007; Kita, 2007; Hegeman et al., 2016; Crompe et al., 2020). Because of the complexity in cellular composition and axonal projection patterns (Sato et al., 2000b; Mastro et al., 2014; Abdi et al., 2015; Dodson et al., 2015; Hernández et

al., 2015; Fujiyama et al., 2016; Saunders et al., 2016, 2018; Abecassis et al., 2020), it has been challenging to demonstrate the causal roles of the GPe in motor control.

We and others established that the GPe contains two principal neuron classes distinguished by their expression of the calcium-binding protein parvalbumin (PV) or the transcription factor Npas1. They account for ~50% and 30% of the GPe neuron population, respectively (Flandin et al., 2010; Nóbrega-Pereira et al., 2010; Abdi et al., 2015; Dodson et al., 2015; Hernández et al., 2015; Hegeman et al., 2016; Abecassis et al., 2020). PV⁺ neurons and Npas1⁺ neurons have different firing characteristics and projection targets. Specifically, PV⁺ neurons exhibit higher firing rates and preferentially target the subthalamic nucleus (STN) and substantia nigra pars reticulata (SNr), whereas Npas1⁺ neurons have lower firing rates and preferentially target the dorsal striatum (dStr) (Abdi et al., 2015; Hernández et al., 2015; Saunders et al., 2016; Mastro et al., 2017; Abecassis et al., 2020; Cherian et al., 2020). These results suggest that PV⁺ neurons and Npas1⁺ neurons likely play unique functional roles. Though this concept is fundamental to the organization and operating principles of the basal ganglia, no direct evidence has been presented.

To fill this critical knowledge gap, here we used contemporary circuit tools to examine the functional roles and synaptic inputs of GPe neuron subpopulations in mice. In accordance with their distinct electrophysiological and circuit characteristics, we found that PV⁺ neurons and Npas1⁺ neurons have distinct functional roles—PV⁺ neurons promote locomotion and Npas1⁺ neurons suppress it. By examining the excitatory inputs to the GPe, we showed that STN input to the GPe is unique in its cell type specificity and preferentially targets PV⁺ neurons over Npas1⁺ neurons. Abnormal activity in the STN–GPe network has been postulated to underlie the hypokinetic symptoms of Parkinson's disease (PD); however, the cell and circuit basis for its emergence remain poorly understood. By harnessing a chronic unilateral 6-hydroxydopamine (6-OHDA) lesion model of PD, we showed that the STN–PV⁺ input is selectively weakened in the chronic 6-OHDA-lesioned model of PD. *In vivo* optogenetic stimulation of PV⁺ neurons promoted locomotion and alleviated hypokinetic symptoms in 6-OHDA-lesioned mice. These results demonstrate the causal role of PV⁺ neurons in PD symptomatology, thus providing the basis for circuit manipulations in treatments of PD.

Materials and Methods

Mice. All procedures were performed in accordance with protocols approved by Northwestern University Institutional Animal Care and Use Committees and were in compliance with the National Institutes of Health *Guide to the Care and Use of Laboratory Animals*. Experiments were conducted with the following mouse lines: A2a-Cre [Adora2a-Cre BAC; stock #031168, Mutant Mouse Regional Resource Center (MMRRC)]; C57BL/6J (stock #000664, The Jackson Laboratory); D1-Cre (Drd1a-Cre BAC; stock #029178, MMRRC); D2-eGFP (Drd2-eGFP BAC; stock #000230, MMRRC); Lox-STOP-Lox(LSL)-tdTom (Ai14; stock #007914, The Jackson Laboratory); Npas1-Cre-tdTom (Npas1-Cre-tdTomato BAC; stock #027718, The Jackson Laboratory); PV-Cre (PV-ires-Cre; stock #017320, The Jackson Laboratory); and PV-tdTom (PV-tdTomato BAC; stock #027395, The Jackson Laboratory). The Npas1-Cre-tdTom BAC mouse was generated in-house (Hernández et al., 2015). PV-Cre was crossed with LSL-tdTom to generate PV-L-tdTom (PV-Cre;LSL-tdTom; Abecassis et al., 2020). Only heterozygous and hemizygous mice were used throughout the study to minimize the potential alteration of the phenotypes in mice carrying the transgene alleles (Chan et al., 2012). Mice were group housed in a 12 h light/dark

Table 1. Detailed information on viral injections

Viral vector	Source	Working titer (viral genome/ml)
AAV ₉ .EF1a.DIO. hChr2(H134R).eYFP	Addgene, catalog #20298	5.55E + 12 (GPe) 2.00E + 12 (STN) 2.20E + 12 (dStr)
AAV ₉ .EF1a.DIO.eYFP	Virovek	5.55E + 12
AAV ₁ .CKIIa.stGtACR2.FusionRed	Addgene, catalog #105669	3.00E + 11
AAV ₁ .hSyn1.SIO.stGtACR2.FusionRed	Addgene, catalog #105677	5.00E + 11
AAV ₉ .Syn.ChR2(H134R).eYFP	Addgene, catalog #26973P	2.68E + 12
AAV _{retro} -Syn.Cre	Janelia	2.30E + 11

cycle. Food and water were provided *ad libitum*. All mouse lines were maintained by backcrossing with C57BL/6J stock. The genotypes of all transgenic mice were determined by tail biopsy followed by PCR to identify the presence of the relevant transgenes. Both male and female mice were used in this study.

Stereotaxic injections. Mice aged postnatal day 30–35 were anesthetized in an isoflurane induction chamber at 3–4% isoflurane and immobilized on a stereotaxic frame (David Kopf Instruments). Anesthesia was maintained using 1–2% isoflurane. The scalp was opened using a scalpel and a small craniotomy (diameter, 1 mm) was made with a dental drill (Osada). Adeno-associated viruses (AAVs) were infused with calibrated 5 µl glass pipettes (VWR) pulled to have a tip diameter of 3 µm. The injection needle was left *in situ* for 5–10 min following the end of the injection to maximize the tissue retention of AAV and decrease capillary spread on pipette withdrawal. Experiments were performed 4–6 weeks after stereotaxic surgeries. The locations of the targeted injections were visually inspected under epifluorescence microscopy in *ex vivo* slices or histologically verified *post hoc*.

For *in vivo* channelrhodopsin-2 (ChR2) stimulation of PV⁺ neurons or Npas1⁺ neurons, 90 nl of AAV₉.EF1a.DIO.hChr2(H134R).eYFP.WRE was injected into the GPe of PV-Cre or Npas1-Cre-tdTom mice. Alternatively, AAV₉.EF1a.DIO.eYFP.WPRE was injected into the GPe as a viral control. For *in vivo* *Guillardia theta* anion channelrhodopsin-2 (GtACR2) inhibition of the GPe, 90 nl of AAV₁.hSyn1.SIO.stGtACR2.FusionRed was injected into the GPe of PV-Cre or Npas1-Cre-tdTom mice. For *in vivo* GtACR2 inhibition of the STN, 45 nl of AAV₁.CKIIa.stGtACR2.FusionRed was injected into the STN. For *in vivo* ChR2 stimulation of direct-pathway spiny projection neurons (dSPNs) and indirect-pathway SPNs (iSPNs), 720 nl of AAV₉.EF1a.DIO.hChr2(H134R).eYFP.WRE was injected into the dStr of D1-Cre and A2a-Cre mice, respectively. All surgeries for *in vivo* experiments were performed bilaterally.

To explore STN-specific ChR2 expression via retrograde Cre delivery, transduction patterns of AAV_{retro}.Cre were first examined in LSL-tdTom mice; 90 nl of AAV_{retro}.Cre was injected into the SNr. For Cre recombinase-inducible expression (CreOn) of ChR2 in the STN, 90 nl of AAV_{retro}.Cre was injected into the SNr of the C57BL/6J mice, and 45 nl of AAV₉.EF1a.DIO.ChR2(H134R).eYFP into the STN. For *ex vivo* electrophysiological recordings of STN inputs, 45 nl of AAV₉.Syn.ChR2(H134R).eYFP was injected into the STN. For recordings of pedunculo-pontine nucleus (PPN) and parafascicular nucleus of the thalamus (PF Thal) glutamatergic inputs, 90 nl of AAV₉.Syn.ChR2(H134R).eYFP was injected. A full listing of the viral constructs and titer information is available in Table 1. Injection coordinates are specified in Table 2.

Fiber implantations and behavioral testing. Three weeks after stereotaxic injections, fiber-optic cannulae were implanted bilaterally at the region of interest (Table 3). Each fiber cannula was assembled with an optical fiber [0.66 numerical aperture (NA), 250 µm core; Prizmatix] secured into a zirconia ferrule (outer diameter, 1.25 mm; Prizmatix) with nonfluorescent epoxy (Thorlabs). To determine the intensity of light exiting fibers, the output of fibers was measured with a power meter (Thorlabs). Cannulae were fixed to the skull using dental cement (Parkell). Mice were allowed to recover for 1–2 weeks before behavioral testing.

Behavioral tests were performed in a standard lit room between 2:00 and 7:00 P.M. *In vivo* optogenetic experiments were performed in an

Table 2. Viral and 6-OHDA injection coordinates

Region	Volume per hemisphere (μ l)	Rostral to bregma (mm)	Lateral to bregma (mm)	Ventral to the skull (mm)
GPe	90	−0.28	2.10	4.00
STN	45	−1.70	1.60	4.50
SNr	90	−3.00	1.60	4.20
dStr	720	0.90	1.40	3.20, 3.60
PPN	90	−4.50	1.25	3.50
PF Thal	90	−2.22	0.64	3.20
MFB	990	−0.70	1.10	4.95

MFB, Medial forebrain bundle.

Table 3. Implantation coordinates

Region	Rostral to bregma (mm)	Lateral to bregma (mm)	Ventral to the skull (mm)
GPe	−0.28	2.1	3.8
STN	−1.70	1.6	4.3
SNr	−3.00	1.6	4.1
dStr	0.90	1.4	3.0

opaque white plastic open-field box (28 × 28 cm), which was cleaned with 70% ethanol. On the day before optogenetic experiments, each mouse was allowed to acclimate to the open-field box for 20 min, with a fiber-optic cable attached to the implant via a rotary joint (Prizmatix). Pretrial, basal ambulatory activity was collected for 5 min at the beginning of the behavioral session. For ChR2 activation, mice were subjected to either a single, sustained light pulse or a patterned pulse train (5 ms pulses at 20 Hz) delivered for 10 s. For GtACR2 activation, only the sustained protocol was used. A blue (peak, ~455 nm) LED (Prizmatix) was used to activate both opsins. The light power used for opsin activation was 12–18 mW measured at the fiber tip. Up to 10 trials were run for any given protocol with a 1 min intertrial interval. Movement data were collected with an overhead camera at the maximum sampling rate (10 Hz). Mouse position was tracked using EthoVision XT (Noldus). After the completion of behavioral experiments, transgene expression patterns and fiber-optic implant locations were histologically verified *post hoc*. Only histologically validated subjects were included in this study.

Speed of locomotion was computed from the distance traveled within the open-field arena per unit time. “Light-period” corresponds to 10 s of light delivery. “Pre-period” and “post-period” correspond to the 10 s epoch before and after light delivery, respectively. The fold change in locomotor activity was calculated by dividing the difference in speed between light-period and pre-period by that of pre-period. Immobility was defined as periods with horizontal displacement ≤ 1 cm/s for at least 0.1 s. Mobility bouts were defined as periods with horizontal displacement > 1 cm/s for at least 0.1 s. To calculate normalized speed, data for each trial were divided by the mean spontaneous locomotor activity measured from 25 s immediately before the light-period. The median differences in movement speed between pre-period and light-period and their 95% confidence intervals were estimated (<https://www.estimationstats.com>), 5000 bootstrap samples were taken, bias was corrected, and accelerated corrections were applied to the resampling bootstrap distributions to adjust for both bias and the skewness (Ho et al., 2019). Logistic regressions were performed using R codes implemented in JASP (<https://jasp-stats.org/>). For single-trial analyses, data from both patterned and sustained stimuli were pooled. We considered logistic regressions for outcomes representing changes in speed during pre-period and light-period above the 0.1-, 1-, and 10-fold cutoffs for PV-Cre mice, or below the 0.1-, 0.5-, and 0.8-fold cutoffs for Npas1-Cre-tdTom mice, with each regression having the strain and manipulation condition as the explanatory variable. Speed during pre-period was considered as a covariate. To examine whether there was hysteresis associated with optogenetic stimulation, trial number was considered as a factor in the model.

Chronic 6-hydroxydopamine lesion. To study the changes in the STN–GPe network in a parkinsonian state, a chronic, unilateral 6-hydroxydopamine (6-OHDA) lesion model of PD was used to achieve a loss of dopamine neurons of the nigrostriatal system (Ungerstedt, 1968; Beal, 2001; Dauer and Przedborski, 2003; Meredith and Kang, 2006; Bezard and Przedborski, 2011). Unilateral 6-OHDA lesioning was performed using standard procedures (Chan et al., 2011, 2012; Hernández et al., 2015; Cui et al., 2016; Glajch et al., 2016). In brief, 6-OHDA (2.5 μ g/ μ l dissolved in 0.9% w/v NaCl with 0.1% w/v ascorbic acid) was injected into the medial forebrain bundle (Table 2) of the left hemisphere. Three weeks after the 6-OHDA injection, lesion success was determined by performing the cylinder test to assess forelimb use impairments (Schallert et al., 2000; Iancu et al., 2005; Chan et al., 2011; Cui et al., 2016). The ratio of ipsilateral to total forepaw touches was used to determine the success of the lesion. In this task, during a 5 min exploratory behavioral assessment in a clear glass cylinder, weight-bearing contacts made by each forepaw on the glass walls of the cylinder were manually quantified. Forelimb use asymmetry was determined by calculating left, right, and combined forepaw touches. As impairment of the contralateral (i.e., right) forepaw was expected with 6-OHDA lesions, a higher ratio of left to the sum of all touches indicates a more severe lesion. A ratio of 1.0 indicates that the mice only used the left forelimb (ipsilateral to the lesion) for the entire test session. Mice with a forepaw/touch ratio of < 0.6 were considered poorly lesioned and were excluded from the study.

Ex vivo electrophysiological recordings. Mice aged postnatal day 60–90 (4–6 weeks after AAV and 6-OHDA injections) were anesthetized with a ketamine-xylazine mixture and perfused transcardially with ice-cold artificial CSF (aCSF) containing the following (in mM): 125 NaCl, 2.5 KCl, 1.25 NaH₂PO₄, 2.0 CaCl₂, 1.0 MgCl₂, 25 NaHCO₃, and 12.5 glucose, bubbled continuously with carbogen (95% O₂ and 5% CO₂). The brains were rapidly removed, glued to the stage of a vibrating microtome (Leica Instruments), and immersed in ice-cold aCSF. Parasagittal slices containing the GPe were cut at a thickness of 240 μ m and transferred to a holding chamber, where they were submerged in aCSF with 3.33 mM pyruvate and 0.07 mM L-glutathione at 37°C for 30 min, and brought to room temperature before recording. Slices were then transferred to a small volume (0.5 ml) Delrin recording chamber that was mounted on a fixed-stage, upright microscope (Olympus). As there is no clear demarcation between the GPe and the more ventral structures in *ex vivo* brain slices, only neurons in the dorsal two-thirds of the GPe were sampled for electrophysiological analyses. GPe neurons were visualized using differential interference contrast optics, illuminated at 735 nm (Thorlabs), and imaged with a 60× 1.0 NA water-immersion objective (Olympus) and a CCD camera (QImaging). Genetically labeled GPe neurons were identified based on their somatic tdTomato fluorescence and examined with epifluorescence microscopy using a white (6500 K) LED (Thorlabs) and an appropriate filter cube (Semrock). Targeting of ChR2-eYFP (enhanced yellow fluorescent protein) was assessed by inspecting eYFP fluorescence before each recording. Mice with viral spread beyond STN and zona incerta (ZI) were excluded from further experimentation.

Recordings were made at room temperature (20–22°C) with patch electrodes fabricated from capillary glass (Sutter Instruments) pulled on a Flaming–Brown puller (Sutter Instruments) and fire polished with a microforge (Narishige) immediately before use. Pipette resistance was typically 2–4 M Ω . The internal solution for cell-attached and voltage-clamp recordings consisted of the following (in mM): 125 CsMeSO₃, 10 Na₂-phosphocreatine, 5 tetraethylammonium chloride, 5 QX-314 Cl, 5 HEPES-K, 5 EGTA-K, 2 Mg₂ATP, 0.5 CaCl₂, 0.5 Na₃GTP, and 0.2% w/v biocytin, with pH adjusted to 7.25–7.30 with CsOH. SR95531 (10 μ M) and CGP55845A (1 μ M) were included in the bath during recordings to block GABAergic transmission. For SPN recordings, Alexa Fluor 594 hydrazide (10–20 μ M; Thermo Fisher Scientific) was included in the pipette solution to confirm the identity by visualizing the presence of spine-dense dendrites. Somatic patch-clamp recordings were obtained with an amplifier (Molecular Devices). The signal for all voltage-clamp recordings was filtered at 1 kHz and digitized at 10 kHz with a digitizer (Molecular Devices). For voltage-clamp recordings, series resistance was measured but not compensated for.

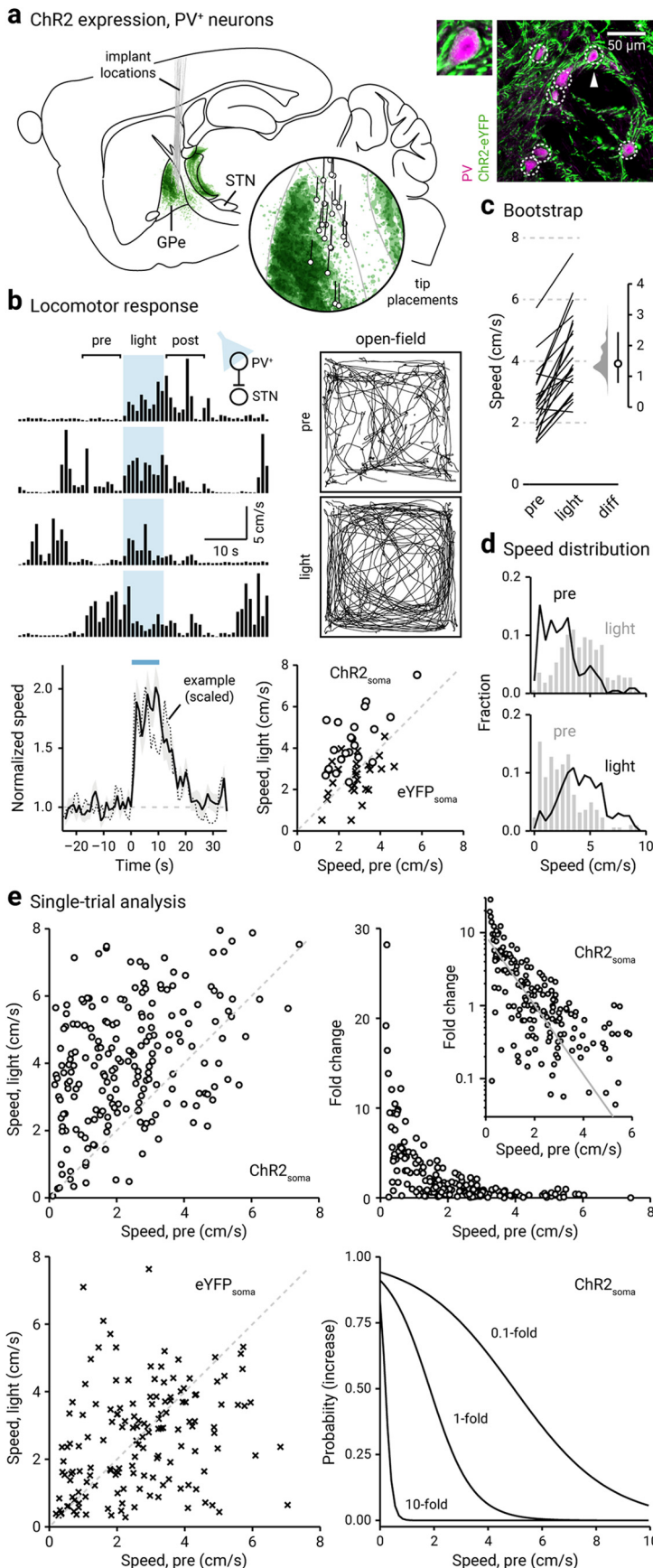


Figure 1. Optogenetic stimulation of PV⁺ neurons promotes locomotion. **a**, Left, Representation of ChR2-eYFP expression patterns and fiber-optic implant locations in PV-Cre mice ($n = 12$). Inset, Representation of optical fiber tip placements in relation to the GPe and neighboring structures. Right, Confocal micrographs showing the cellular

The data were discarded if series resistance increased by 20% during recordings. Stimulus generation and data acquisition were performed using pClamp (Molecular Devices).

To measure the spontaneous and driven firing of GPe neurons, the cell-attached configuration was used to prevent the disruption of intracellular milieu. The average spontaneous firing was measured after 2 min of stable firing. To measure the driven firing of GPe neurons, input from the STN was optogenetically stimulated with 2 ms pulses at 10 Hz for 2 s. The average firing rate during the 2 s stimulation period was taken as the driven firing rates of the respective cells. Whole-cell voltage-clamp recordings were used to measure EPSCs. GPe neurons were voltage clamped at -50 mV; terminals from excitatory inputs (from STN, PPN, and PF Thal) synapsed within the GPe were optogenetically stimulated for 2 ms. For *ex vivo* optogenetic experiments, blue excitation wavelength (peak, ~ 450 nm) from two daylight (6500 K) LEDs (Thorlabs) was delivered to the tissue slice from both a $60\times$ water-immersion objective and a 0.9 NA air condenser with the aid of 520 nm dichroic beamsplitters (Semrock). Light delivery was made at the site of electrophysiological recordings with a field of illumination of ~ 500 μ m in diameter. To measure STN input without the topographical biasing of the STN axons in the GPe, recordings were made from neighboring tdTomato⁺ and tdTomato⁻ neurons (<150 μ m

← specificity of ChR2-eYFP expression in the GPe. For clarity, a magnified example (arrowhead) is shown. **b**, Top, left, A representative example of the locomotor activity of a single mouse across four trials. The schematic diagram shows the site of light delivery. Blue shaded area indicates the duration (10 s) of light delivery. Top, right, Movement tracks corresponding to the pre-period (top) and light-period (bottom). Six representative mice (10 trials from each) are presented. Bottom, left, A plot showing the relationship between normalized speed and time. Blue bar indicates the duration (10 s) of light delivery. The dotted horizontal line indicates the baseline locomotor activity level. Black solid trace is the population mean calculated from all mice; shading indicates the SEM. Black dotted trace is a representative example from a single mouse; data were scaled to facilitate comparison. Bottom, right, Speed during light-period against speed during pre-period is plotted. Data from PV-Cre mice expressing ChR2-eYFP (circles) and eYFP (crosses) are displayed. Each marker represents a mouse. The diagonal line indicates unity (i.e., $x = y$). Data points for ChR2-eYFP are systematically shifted upward relative to unity. **c**, Slopegraph showing the response of each mouse on optogenetic stimulation of PV⁺ neurons. The slope of each line shows the magnitude and direction of change. Median difference is plotted on a floating axis. The smoothed density represents bootstrap resampled data. The median difference is indicated as a circle, and the 95% confidence interval is indicated by the length of vertical lines. **d**, Speed distributions of mice during pre-period and light-period are shown. **e**, Top, left, A scatter plot showing the pairwise relationship between speed during light-period and speed during pre-period from PV-Cre mice expressing ChR2-eYFP. Each marker is a trial. The diagonal line indicates unity. Top right, Fold change in speed with light delivery against speed during pre-period from PV-Cre mice expressing ChR2-eYFP. Each marker is a trial. Inset, Same data displayed with fold increase on a log scale. Grayline is a monoexponential fit of the data. Bottom, left, A plot showing the speed during light-period versus speed during pre-period. Data from PV-Cre mice expressing eYFP are displayed. Each marker is a trial. The diagonal line indicates unity. Bottom, right, Probability of increase against speed during pre-period. Logistic regression curves fitted to data for 0.1-fold, 1-fold, and 10-fold increases are displayed.

apart) in both PV-L-tdTom and Npas1-Cre-tdTom mice. To study STN input with CreOn expression of Chr2, EPSC recordings were made from tdTomato⁺ and tdTomato⁻ GPe neurons in PV-L-tdTom mice. Corticostriatal EPSCs were evoked with electrical stimulation using parallel bipolar tungsten electrodes (Frederick Haer) placed in the cortex. To measure AMPA and NMDA receptor-mediated currents, the holding potential of recorded neurons was alternated between -80 and $+40$ mV. AMPA receptor-dependent currents were measured from the peak amplitude of EPSCs at -80 mV. NMDA receptor-dependent currents were measured at 50 ms after the EPSC peak at $+40$ mV. The AMPA-NMDA ratio was calculated by dividing the AMPA current by the NMDA current.

Histology. For histologic verification of injection and implantation sites in mice used for *in vivo* behavioral experiments, mice were anesthetized deeply with a ketamine-xylazine mixture and perfused transcardially first with 0.01 M PBS followed by a fixative containing 4% paraformaldehyde (PFA), pH 7.4. Brain tissue was then postfixed in the same fixative for 2 h at 4°C. Tissue blocks containing the GPe were sectioned sagittally using a vibrating microtome (Leica Instruments) at a thickness of 60 μ m. Sections were then washed, mounted, and coverslipped. Standard immunohistological procedures (Abecassis et al., 2020) were performed in a subset of brain tissues to validate the cellular specificity of transgene expression. Sections were imaged under an epifluorescence microscope (Keyence), and each section was visually inspected. Graphical representations were created using a thresholding based protocol in Fiji (<http://fiji.sc/Fiji>; Schindelin et al., 2012), where regions of interest were selected to show transgene expression in the primary injection sites. For histologic verification of AAV_{retro}-mediated recombination and the quantification of STN fibers, images were taken on a laser-scanning confocal microscope with a 10 \times 0.45 NA air objective and a 60 \times 1.35 NA oil-immersion objective (Olympus).

To quantify STN axonal fibers in the GPe, identical histologic procedures were used. Sections were imaged on a laser-scanning confocal microscope with a 10 \times 0.45 NA air objective (Olympus). Values for “area,” “area fraction,” and “integrated density” were quantified using Fiji. Specifically, area represents the user-defined area of the GPe in a sagittal section, and area fraction represents the fraction of area that contains pixels with values above the set threshold, in this case, pixels with eYFP-labeled STN fibers. Integrated density represents the sum of all pixels within the selected area (i.e., the sum of absolute pixel values), which was used as a measure of the density of STN fibers within the GPe. To quantify putative STN terminals within the GPe, standard immunohistological procedures were performed. Floating tissue sections were reacted with antibodies for GFP (1:1000; Abcam) and VGluT2 (1:1000; NeuroMab). Images were taken on a laser-scanning confocal microscope with a 60 \times 1.35 NA oil-immersion objective and 2 \times digital zoom. Three nonoverlapping fields (106.7 \times 106.7 μ m²) were imaged from each section; 5 μ m serial optical sections (*z*-stacks, at 1 μ m intervals) were acquired. Puncta were deemed bona fide STN–GPe synaptic boutons only if they showed spatial overlap of eYFP and VGluT2 signals across all three orthogonal planes. GPe sections from three different equally spaced (400 μ m) lateromedial levels were sampled (Hernández et al., 2015; Abecassis et al., 2020) from the left hemisphere.

Brain tissue used for *ex vivo* electrophysiological recordings was stored in 4% PFA for 24 h. PFA-fixed tissues were mounted on microscope slides, coverslipped with Prolong Gold antifade mounting medium (Thermo Fisher Scientific), and imaged under an epifluorescence microscope (Keyence) to inspect the fluorescent signal from the site of viral injection.

Experimental design and statistical analyses. Statistical analyses were performed with MATLAB (MathWorks) and Prism (GraphPad Software). Custom analysis codes are available on GitHub (<https://github.com/chanlab>). The sample size (*n* value) shown represents the number of mice for *in vivo* behavior experiments and the number of cells for *ex vivo* electrophysiological experiments. Unless noted otherwise, data are presented as median values \pm median absolute deviations (MADs; Leys et al., 2013) as measures of central tendency and statistical dispersion, respectively. Box plots are used for graphical representation of the distribution of values (Krzywinski and Altman, 2014; Streit and



Movie 1. *In vivo* optogenetic stimulation of PV⁺ neurons promoted locomotion. Representative movie of a mouse in an open field arena showing increased locomotion upon optogenetic stimulation of PV⁺ neurons. Behavior from two consecutive trials is shown. Video is shown at 2 \times speed. [View online]

Gehlenborg, 2014; Nuzzo, 2016). In a box plot, the central line represents the median, the box edges represent the interquartile ranges, and whiskers represent 10th to 90th percentiles. No statistical method was used to predetermine sample sizes. Comparisons for unpaired samples were performed using a Mann–Whitney *U* test. Comparisons for paired samples were performed using the Wilcoxon signed-rank test. The Fisher’s exact test was used to determine whether there were nonrandom associations in two nominal variables with a threshold (α) of 0.05 for significance. Statistical significance was set at $p < 0.05$. Unless < 0.0001 or > 0.99 , exact *p* values (two tailed) are reported. No multiple testing corrections were considered.

Results

We sought to dissect the relationship between GPe neuron activity and motor output with cell type-specific strategies. To confer transgene expression specificity in PV⁺ neurons and Npas1⁺ neurons, we used CreOn AAVs in conjunction with PV-Cre and Npas1-Cre-tdTom mouse lines, respectively. *In vivo* optogenetics, which provides a relatively high temporal resolution, was used to interrogate the roles that PV⁺ neurons and Npas1⁺ neurons play in regulating full-body movements—locomotion. Locomotor activity of mice in an open-field arena across individual trials was analyzed. We focused on the following three defined time windows relative to light delivery: the pre-period (pre; -10 to 0 s), the light-period (light; 0–10 s), and post-period (post; 10–20 s).

PV⁺ neurons promote movement

Stimulation of PV⁺ neurons *in vivo* using Chr2 (an excitatory opsin; Boyden et al., 2005; Fig. 1*a*) induced an increase in motor output, as measured by the duration of movement periods (data not shown) and locomotion speed (Fig. 1*b,c*, Movie 1). Both patterned and sustained activation of Chr2 were effective in promoting speed (patterned: $+0.52 \pm 0.31$ -fold, $n = 11$ mice, $p = 0.0049$; sustained: $+0.79 \pm 0.28$ -fold, $n = 12$ mice, $p = 0.00049$). The motor responses induced by the two protocols were not statistically

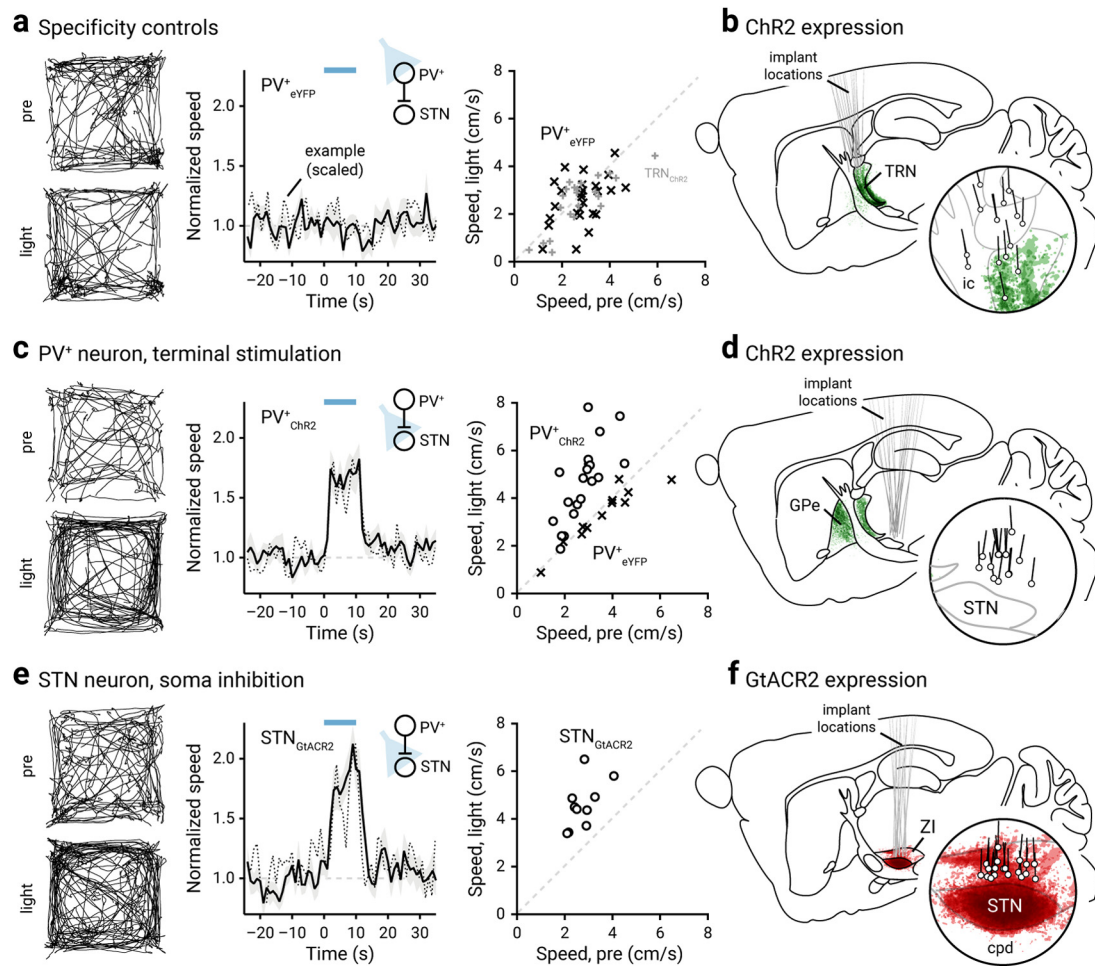


Figure 2. Inhibition of STN promotes locomotion. **a**, Left, Movement tracks corresponding to the pre-period (top) and light-period (bottom). Six representative mice (10 trials from each) are presented. Middle, A plot showing the relationship between normalized speed and time. Blue bar indicates the duration (10 s) of light delivery. The dotted horizontal line indicates the baseline locomotor activity level. Black solid trace is the population mean calculated from all mice; shading indicates the SEM. Black dotted trace is a representative example from a single mouse; data were scaled to facilitate comparison. The same presentation scheme is used in **c** and **e**. Data from eYFP-expressing PV-Cre mice are shown; light was delivered to the GPe. Data from the same mice (crosses) are presented on the right in a scatterplot, which shows the speed during light delivery versus speed during pre-period. Mice with ChR2-expressed in TRN neurons are used as additional controls (gray pluses). **b**, Representation of targeted ChR2-eYFP expression patterns and fiber-optic implant locations in PV-Cre mice ($n = 11$). The TRN was targeted in these experiments. **c**, Left, middle, Data from optogenetic stimulation of PV⁺ neuron terminals in the STN are shown. Right, eYFP-expressing mice (in PV⁺ neurons) were used as controls (crosses). **d**, Representation of ChR2-eYFP expression patterns and fiber-optic implant locations in PV-Cre mice ($n = 10$). ChR2-expressing PV⁺ neuron terminals in the STN were targeted. **e**, Data from optogenetic inhibition of STN neurons with GtACR2 are shown. **f**, Representation of GtACR2-FusionRed expression patterns and fiber-optic implant locations in C57BL/6J mice ($n = 10$). The STN was targeted in these experiments. cpd, Cerebral peduncle; ic, internal capsule.

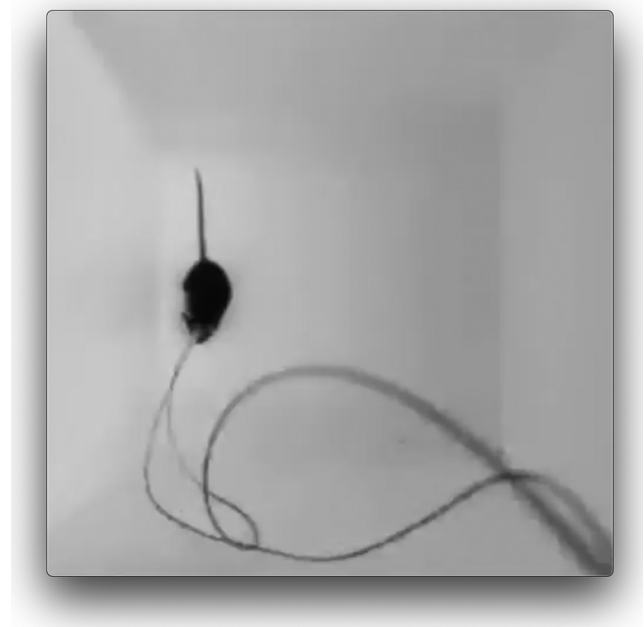
different ($p = 0.094$). The motor effect was readily reversed following the cessation of light delivery, as the speed during the post-period was not different from that during the pre-period. The motor response was not the result of nonspecific effects of light delivery, such as heat artifacts (Owen et al., 2019), as PV-Cre mice transduced with a control AAV (eYFP only) did not display any motor effects with light delivery (patterned: -0.035 ± 0.25 -fold, $n = 13$ mice, $p = 0.84$; sustained: -0.14 ± 0.20 -fold, $n = 13$ mice, $p = 0.17$; Figs. 1*b*, 2*a*, Movie 2). Similarly, sham-injected PV-Cre mice did not show any motor responses associated with light delivery (patterned: $+0.11 \pm 0.20$ -fold, $n = 11$ mice, $p = 0.28$; sustained: -0.16 ± 0.31 -fold, $n = 9$ mice, $p = 0.91$).

By examining the statistics of spontaneous (pre-period) and induced locomotion (light-period), it became clear that optogenetic stimulation induced a rightward shift in the speed distribution (Fig. 1*d*). Furthermore, mice also showed a decrease in time spent immobile (i.e., horizontal displacement ≤ 1 cm/s) on optogenetic stimulation of PV⁺ neurons (pre-period: $34 \pm 21\%$; light-period: $11 \pm 9.5\%$; $n = 230$ trials, $p < 0.0001$). Though mice

spend a larger fraction of time ambulating at higher velocities during induced locomotion, the activity level spanned the same range as observed during spontaneous locomotion. These salient features of the relationship between the activity during pre-period and light-period are not always captured at single-animal analyses. As demonstrated by the example in Figure 1*b*, strong motor effects were observed in trials only when low ambulatory levels occurred immediately preceding the optogenetic stimulus. To gain deeper insights into how spontaneous locomotor activity level shaped the size of the motor response, we analyzed data from individual trials. As shown in Figure 1*e*, the speed in the light-period was distinct from their pre-period level; most of the data points are above unity. On the contrary, eYFP controls did not display any motor effects associated with light delivery (bottom left); all data points are distributed evenly along the unity. An important feature in these data is the strong negative relationship between prestimulus speed and the fold increase in locomotion. As illustrated in Figure 1*e*, this relationship can be described by a monoexponential function. Furthermore, logistic



Movie 2. *In vivo* light delivery in PV-Cre mice transduced with a control AAV (eYFP only) did not affect locomotion. Representative movie of a mouse in an open field arena showing the lack of effect in locomotion upon light delivery to PV⁺ neurons with no opsin expression. Behavior from two consecutive trials is shown. Video is shown at 2× speed. [View online]



Movie 3. *In vivo* optogenetic inhibition of STN neurons promoted locomotion. Representative movie of a mouse in an open field arena showing increased locomotion upon optogenetic inhibition of STN neurons. Behavior from two consecutive trials is shown. Video is shown at 2× speed. [View online]

Table 4. Summary of logistic regression models

Strain, manipulation	Fold changes	OR	<i>p</i> Value	95% confidence interval for ORs	
				Lower bound	Upper bound
PV-Cre ChR2	0.1-fold increase	0.569	<0.001	0.465	0.696
	1-fold increase	0.283	<0.001	0.201	0.398
	10-fold increase	3.319e−4	0.0093	0.000	0.138
PV-Cre ChR2, 6-OHDA	0.1-fold increase	0.673	0.0012	0.530	0.856
	1-fold increase	0.388	<0.001	0.278	0.541
	10-fold increase	9.736e−7	0.0012	0.000	0.004
Npas1-Cre-tdTom ChR2	0.1-fold decrease	1.589	<0.001	1.288	1.960
	0.5-fold decrease	1.589	<0.001	1.288	1.960
	0.8-fold decrease	1.227	0.16	0.923	1.631

OR, Odds ratio. The bold *p* values are significant (i.e., <0.05).

regression predicted the motor effect when prestimulus speed was considered as a covariate (Fig. 1e, Table 4). *Post hoc* histologic examination confirmed that the behavioral effects were because of specific targeting of the GPe (Fig. 1a). As viral spread to the thalamic reticular nucleus (TRN) was apparent in some cases, we examined whether our results were confounded. Using near-identical procedures, we found that selective ChR2 expression in the TRN did not yield consistent motor effects (patterned: -0.12 ± 0.22 -fold, $n = 11$ mice, $p = 0.90$; sustained: -0.17 ± 0.21 -fold, $n = 11$ mice, $p = 0.41$; Fig. 2a,b).

PV⁺ neurons send inhibitory projections primarily to the STN and SNr (Bevan et al., 1998; Sato et al., 2000a; Kita, 2007; Mastro et al., 2014; Hernández et al., 2015; Fujiyama et al., 2016; Saunders et al., 2016; Oh et al., 2017; Abecassis et al., 2020). To

confirm that the movement-promoting effects of PV⁺ neuron stimulation were mediated through inhibiting these downstream targets, we optogenetically stimulated PV⁺ neuron axon terminals in the STN (Fig. 2c,d). As expected, stimulation of PV⁺ axon terminals in the STN resulted in an increase in the speed of movement (patterned: $+0.41 \pm 0.16$ -fold, $n = 10$ mice, $p = 0.0020$; sustained: $+0.84 \pm 0.11$ -fold, $n = 10$ mice, $p = 0.0020$). Light delivery at the PV⁺ axon terminals in the STN of PV-Cre mice transduced with a control AAV (eYFP only) did not produce any motor effects with light delivery (patterned: -0.0052 ± 0.10 -fold, $n = 6$ mice, $p > 0.99$; sustained: -0.081 ± 0.027 -fold, $n = 6$ mice, $p = 0.31$; Fig. 2c). To exclude action potential propagation as a confound, we activated virally expressed GtACR2 (an inhibitory opsin) (Govorunova et al., 2015; Mahn et al., 2018) in STN neurons to mimic the inhibitory action of the GPe-STN input. As expected, we observed an increase in movement ($+0.60 \pm 0.16$ -fold, $n = 10$ mice, $p = 0.0020$; Fig. 2e,f, Movie 3). In sum, our data collectively argue that the activity of PV⁺ neurons promoted locomotion via the inhibition of their primary downstream targets.

Npas1⁺ neurons suppress movement

In contrast with the motor effect of PV⁺ neurons, *in vivo* optogenetic stimulation of Npas1⁺ neurons induced a decrease in the duration of movement periods (data not shown) and locomotion speed (patterned: -0.41 ± 0.10 -fold, $n = 14$ mice, $p = 0.000031$; sustained: -0.37 ± 0.089 -fold, $n = 16$ mice, $p = 0.00024$; Fig. 3a–c, Movie 4). The effects of optogenetic stimulation of Npas1⁺ neurons with both a sustained light pulse and a 20 Hz train were not different ($p = 0.24$) in suppressing speed as the measure of motor output. This observation is consistent with our previous findings with chemogenetic stimulation of Npas1⁺ neurons (Glajch et al., 2016). Importantly, our observations provide a causal demonstration of the proposed role of Npas1⁺ neurons in movement suppression (Mallet et al., 2016). The optogenetically induced motor

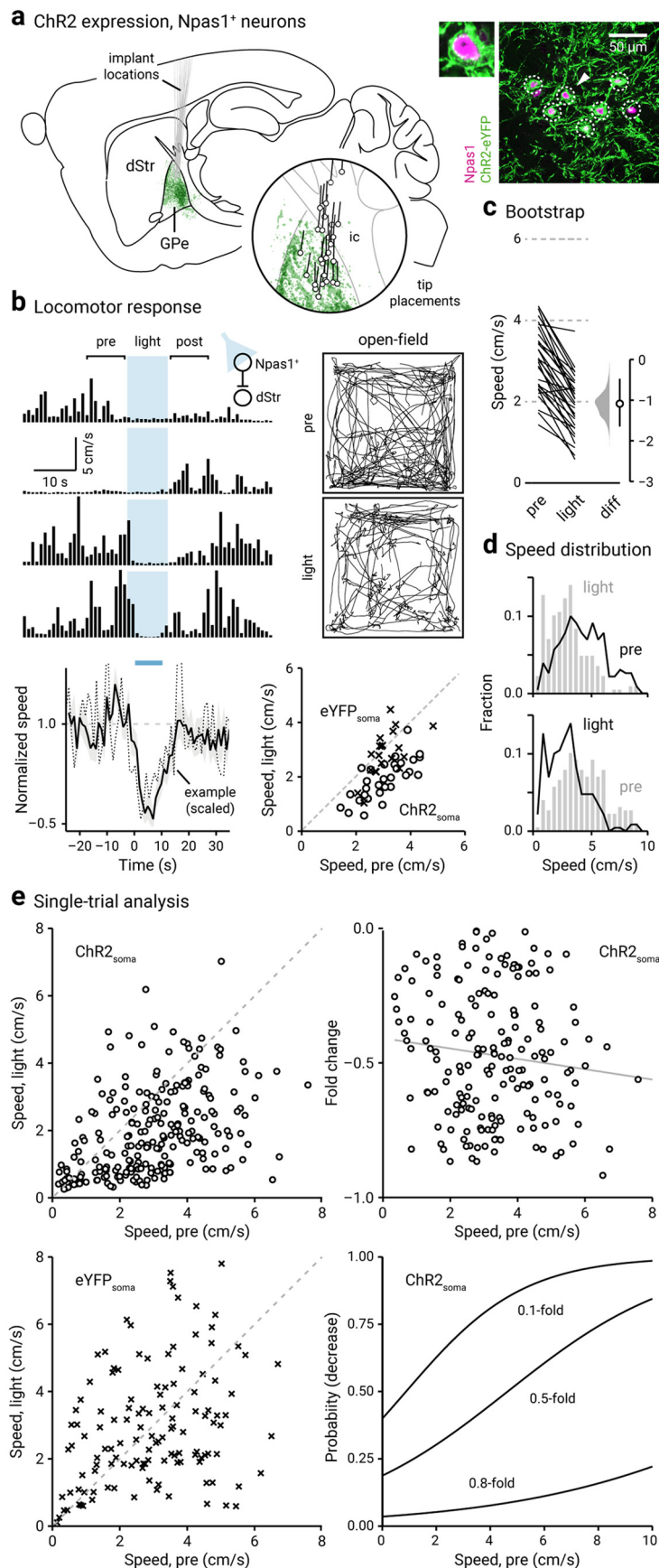


Figure 3. Optogenetic stimulation of *Npas1*⁺ neurons suppresses locomotion. **a**, Left, Representation of ChR2-eYFP expression patterns and fiber-optic implant locations in *Npas1*-Cre-tdTom mice ($n = 14$). Inset, Representation of optical fiber tip placements in relation to the GPe and neighboring structures. Right, Confocal micrographs showing the cellular

suppression was readily reversible, as the speed during the post-period was not different from that during the pre-period (Fig. 3*b*).

By visualizing the speed distributions during the pre-period and light-period, it is apparent that in addition to a leftward shift in the speed distribution (Fig. 3*d*), mice also showed an increase in time spent immobile on optogenetic stimulation of *Npas1*⁺ neurons (pre-period: $26 \pm 16\%$; light-period: $46 \pm 24\%$; $n = 240$ trials, $p = 0.0020$). Similar to that observed in *PV*⁺ neurons, single-trial data revealed that the effect size was a function of the level of spontaneous activity (i.e., during pre-period) immediately preceding the light-period. Strong motor suppression was associated with a high level of spontaneous movement preceding the light-period. This relationship can be described by a linear function (Fig. 3*e*). The magnitude of motor

← specificity of ChR2-eYFP expression in the GPe. For clarity, a magnified example (arrowhead) is shown. **b**, Top, left, A representative example of the locomotor activity of a single mouse across four trials. The schematic diagram shows the site of light delivery. Blue shaded area indicates the duration (10 s) of light delivery. Top, right, Movement tracks corresponding to the pre-period (top) and light-period (bottom). Six representative mice (10 trials from each) are presented. Bottom, left, A plot showing the relationship between normalized speed and time. Blue bar indicates the duration (10 s) of light delivery. The dotted horizontal line indicates the baseline locomotor activity level. Black solid trace is the population mean calculated from all mice; shading indicates the SEM. Black dotted trace is a representative example from a single mouse; data were scaled to facilitate comparison. Bottom, right, Speed during light-period against speed during pre-period is plotted. Data from *Npas1*-Cre-tdTom mice expressing ChR2-eYFP (circles) and eYFP (crosses) are displayed. Each marker represents a mouse. The diagonal line indicates unity (i.e., $x = y$). Data points for ChR2-eYFP are systematically shifted downward relative to unity. **c**, Slopegraph showing the response of each mouse on optogenetic stimulation of *Npas1*⁺ neurons. The slope of each line shows the magnitude and direction of change. Median difference is plotted on a floating axis. The smoothed density represents bootstrap resampled data. The median difference is indicated as a circle, and the 95% confidence interval is indicated by the length of vertical lines. **d**, Speed distributions of mice during pre-period and light-period are shown. **e**, Top, left, A scatter plot showing the pairwise relationship between speed during light-period and speed during pre-period from *Npas1*-Cre-tdTom mice expressing ChR2-eYFP. Each marker is a trial. The diagonal line indicates unity. Top, right, Fold change in speed with light delivery against speed during pre-period from *Npas1*-Cre-tdTom mice expressing ChR2-eYFP. Each marker is a trial. Grayline is a linear fit of the data. Bottom left, A plot showing the speed during light-period versus speed during pre-period. Data from *Npas1*-Cre-tdTom mice expression eYFP are displayed. Each marker is a trial. The diagonal line indicates unity. Bottom, right, Probability of decrease against speed during pre-period. Logistic regression curves fitted to data for 0.1-fold, 0.5-fold, and 0.8-fold decreases are displayed.



Movie 4. *In vivo* optogenetic stimulation of Npas1⁺ neurons suppressed locomotion. Representative movie of a mouse in an open field arena showing decreased locomotion upon optogenetic stimulation of Npas1⁺ neurons. Behavior from two consecutive trials is shown. Video is shown at 2× speed. [View online]

suppression was predicted by the level of spontaneous movement with logistic regression (Fig. 3e, Table 4). On the other hand, Npas1-Cre-tdTom mice transduced with a control AAV (eYFP only) did not statistically differ in locomotor activity with light delivery (patterned: $+0.06 \pm 0.19$ -fold, $n = 11$ mice, $p = 0.83$; sustained: -0.13 ± 0.15 -fold, $n = 10$ mice, $p = 0.13$; Figs. 3b, 4a, Movie 5). Similarly, sham-injected Npas1-Cre-tdTom mice also did not show any motor responses associated with light delivery (patterned: $+0.020 \pm 0.081$ -fold, $n = 6$ mice, $p > 0.99$; sustained: $+0.19 \pm 0.36$ -fold, $n = 6$ mice, $p > 0.99$).

Next, we wanted to ask whether Npas1⁺ neurons can bidirectionally regulate motor output. *In vivo* optogenetic inhibition of Npas1⁺ neurons using GtACR2 induced an increase in movement ($+0.34 \pm 0.34$ -fold, $n = 9$ mice, $p = 0.020$; Fig. 4b), arguing that Npas1⁺ neurons were exerting an ongoing inhibition of their downstream targets *in vivo*, during spontaneous locomotion. Npas1⁺ neurons primarily project to the dStr (Kita et al., 1999; Abdi et al., 2015; Dodson et al., 2015; Hernández et al., 2015; Saunders et al., 2016; Abecassis et al., 2020). As the dStr is responsible for motor behavior (Kravitz et al., 2010; Durieux et al., 2012; Freeze et al., 2013), we stimulated Npas1⁺ terminals within the dStr to determine whether the movement-suppressing effects of the stimulation of Npas1⁺ neurons are mediated through this downstream projection. Similar to the effects observed with somatic stimulation of Npas1⁺ neurons, optogenetic stimulation of their terminals in the dStr led to a reduction in movement (patterned: -0.29 ± 0.11 -fold, $n = 12$ mice, $p = 0.00049$; sustained: -0.19 ± 0.14 -fold, $n = 12$ mice, $p = 0.034$; Fig. 4c). In contrast, light delivery at the Npas1⁺ neuron terminals in the dStr of Npas1-Cre-tdTom mice transduced with a control AAV (eYFP only) did not result in any motor effects (patterned: $+0.058 \pm 0.17$ -fold, $n = 11$ mice, $p = 0.77$; sustained: $+0.065 \pm 0.16$ -fold, $n = 11$ mice, $p = 0.32$).

To confirm that the motor effect induced by optogenetic manipulation of GPe output was not a result of settings unique

to our experimental setup, we optogenetically stimulated dSPNs and iSPNs, respectively, in the dStr. This resulted in a canonical increase ($+1.73 \pm 0.21$ -fold, $n = 8$ mice, $p = 0.0078$) and decrease (-0.61 ± 0.077 -fold, $n = 8$ mice, $p = 0.0078$) in movement, respectively, as demonstrated previously (Kravitz et al., 2010). To summarize, we conclude that ongoing Npas1⁺ neuron activity modulates motor output—stimulation of Npas1⁺ neurons suppressed locomotion.

The STN preferentially targets PV⁺ neurons

Our *in vivo* optogenetic interrogation showed that PV⁺ neurons are movement promoting and Npas1⁺ neurons are movement suppressing. However, the excitatory inputs that naturally drive the activity of these GPe neurons have not been fully characterized. Both anatomic and physiological studies show that the principal glutamatergic input to the GPe arises from the STN (Carpenter et al., 1981a,b; Kita and Kitai, 1987; Smith et al., 1990a,b, 1998; Kita et al., 2004; Koshimizu et al., 2013). However, it is not known whether the STN selectively targets particular GPe neuron subpopulations. Computational models suggest that the STN targets a select subset of Npas1⁺ neurons (Bogacz et al., 2016); however, this hypothesis has yet to be empirically tested.

To study the properties of the STN–GPe input, we performed whole-cell, voltage-clamp recordings from genetically identified PV⁺ neurons and Npas1⁺ neurons in an acute brain slice preparation. To allow the stimulation of the STN input, an optogenetic approach was used. Injection of the STN with an AAV that expressed Chr2-eYFP constitutively led to robust Chr2-eYFP expression in STN neurons. This gave rise to eYFP-labeled axonal processes terminating throughout the GPe. The VGluT2-immunoreactive puncta on these axons suggest that they are terminating within the GPe and have the capacity to release glutamate (Fig. 5a,b). The properties of STN inputs to GPe were examined in the presence of GABAergic antagonists (10 μ M SR95531, 1 μ M CGP55845). Optogenetic stimulation of the STN input reliably evoked EPSCs in all neurons tested. Notably, EPSC amplitudes, as a measure of the STN input strength, were approximately five times larger in PV⁺ neurons compared with those in Npas1⁺ neurons (PV⁺: 674.01 ± 174.47 pA, $n = 34$ neurons; Npas1⁺: 128.30 ± 63.05 pA, $n = 41$ neurons; $p < 0.0001$; Fig. 5d,e). The EPSCs evoked with the constitutive Chr2 expression were not because of ectopic expression of Chr2 in the ZI, which is immediately adjacent (dorsocaudal) to the STN, as selectively targeted injection and optogenetic stimulation of the ZI input did not produce large EPSCs in either PV⁺ neurons or Npas1⁺ neurons (PV⁺: 33.93 ± 27.22 pA, $n = 9$ neurons; Npas1⁺: 33.08 ± 20.39 pA, $n = 9$ neurons; $p = 0.26$; Fig. 5c,d).

As the methodology used did not rely on an STN-specific driver or promoter, a Cre-lox strategy was also used to verify the specificity of the involvement of the STN in our analysis. To efficiently deliver Cre to STN neurons, we injected AAV_{retro}-Cre (Tervo et al., 2016) in the SNr, which is the principal downstream target of the STN (Parent and Smith, 1987; Smith et al., 1998). This Cre-delivery strategy yielded robust recombination events in STN neurons, as depicted by tdTomato expression in the Cre reporter line (LSL-tdTomato; Fig. 5f). PV-tdTom mice were then used in subsequent experiments to allow for the identification of PV⁺ neurons (Abecassis et al., 2020). By using the same retrograde Cre-delivery strategy in conjunction with CreOn Chr2-expressing AAVs (injected into the STN), EPSCs were reliably evoked when STN input was optogenetically stimulated. Consistent with our observations using constitutive

expression of Chr2 in the STN, EPSCs evoked with the AAV_{retro}-Cre-mediated strategy were larger in PV⁺ neurons than those in PV⁻ neurons (PV⁺: 578.92 ± 49.01 pA, *n* = 6 neurons; PV⁻: 155.64 ± 52.61 pA, *n* = 5 neurons; *p* = 0.0043). Moreover, EPSCs measured in PV⁺ neurons and PV⁻ neurons in mice with Cre-mediated Chr2 expression in the STN were not different from those measured in PV⁺ neurons and Npas1⁺ neurons in mice with constitutive Chr2 expression in the STN (PV⁺, Cre-induced vs PV⁺, constitutive: *p* = 0.12; PV⁻, Cre-induced vs Npas1⁺, constitutive: *p* = 0.51; Fig. 5g). In summary, our experiments confirmed the validity of the general approach used in this study.

In addition to the STN, the PF Thal and PPN are known to send glutamatergic projections to the GPe (Kincaid et al., 1991; Sadikot et al., 1992; Naito and Kita, 1994; Deschênes et al., 1996; Mouroux et al., 1997; Kita et al., 2004; Yasukawa et al., 2004). We sought to compare the properties of these inputs to the STN input using near-identical approaches (see Materials and Methods). In contrast to the STN input, PF Thal and PPN inputs did not produce detectable EPSCs in all GPe neurons. Fewer PV⁺ neurons (90.6%, 19 of 21 neurons) and Npas1⁺ neurons (88.2%, 15 of 17 neurons) responded to PF Thal input stimulation (PV⁺ = 76.64 ± 44.3 pA, *n* = 19 neurons; Npas1⁺ = 45.32 ± 26.9 pA, *n* = 15 neurons) than to the STN input stimulation (Fig. 5e). Similarly, fewer PV⁺ neurons (73.3%, 11 of 15 neurons) and Npas1⁺ neurons (54.4%, 6 of 11 neurons) responded to PPN input stimulation (PV⁺ = 54.75 ± 30.5 pA, *n* = 11 neurons; Npas1⁺ = 54.32 ± 33.2 pA, *n* = 6 neurons) than to STN input stimulation (Fig. 5e). Unlike the strong neuron subtype bias in the strength of input from the STN, there was no statistical difference in the strength of inputs from PF Thal or PPN to the two GPe neuron subpopulations (PF Thal: *n*_{PV} = 19 neurons, *n*_{Npas1} = 15 neurons, *p* = 0.54; PPN: *n*_{PV} = 11 neurons, *n*_{Npas1} = 6 neurons, *p* = 0.35; Fig. 5e).

Pharmacological dissection of the synaptic responses in both PV⁺ neurons and Npas1⁺ neurons confirmed that the transmission at STN–GPe synapses was mediated by ionotropic glutamate receptors (Fig. 6a) as suggested by earlier studies (Kita and Kitai, 1991; Kita, 1992). Optogenetic stimulation of STN terminals in the presence of a NMDA receptor antagonist, CPP [3-(±)-2-carboxypiperazin-4-ylpropyl-1-phosphonate; 10 μM], resulted in responses with only a fast component (data not shown); CPP (10 μM) and NBQX (2,3-dihydroxy-6-nitro-7-sulfonyl-benzo[*f*]quinoxaline; 5 μM) completely eliminated the synaptic responses. These results were consistent with prior ultrastructural studies showing that STN terminals form AMPA

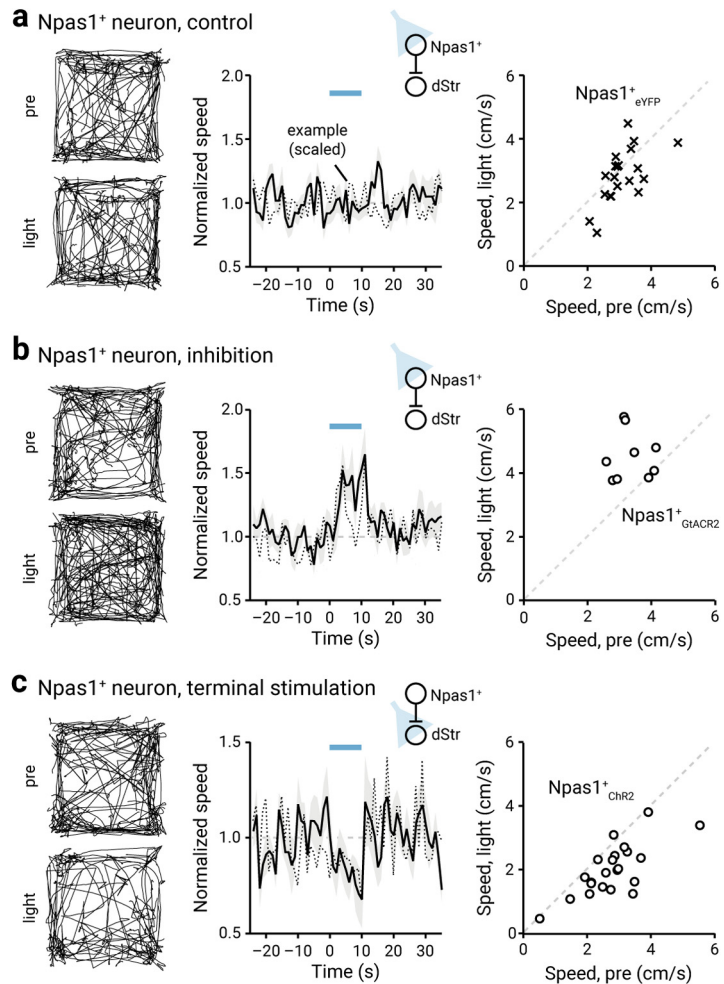


Figure 4. Ongoing Npas1⁺ neuron activity suppresses locomotion. **a**, Left, Movement tracks corresponding to the pre-period (top) and light-period (bottom). Six representative mice (10 trials from each) are presented. Middle, A plot showing the relationship between normalized speed and time. Blue bar indicates the duration (10 s) of light delivery. The dotted horizontal line indicates the baseline locomotor activity level. Black solid trace is the population mean calculated from all mice; shading indicates the SEM. Black dotted trace is a representative example from a single mouse; data were scaled to facilitate comparison. The same presentation scheme is used in **b** and **c**. Data from eYFP-expressing Npas1-Cre-tdTom mice are shown; light was delivered to the GPe. **b**, Data from GtACR2-expressing Npas1-Cre-tdTom mice are shown; light was delivered to the GPe. **c**, Data from optogenetic stimulation of Npas1⁺ neuron terminals in the dStr are shown.

and NMDA receptor-containing synapses on dendrites of GPe neurons (Bernard and Bolam, 1998; Clarke and Bolam, 1998; Koshimizu et al., 2013). Our experiments collectively showed that the STN provides the primary excitatory drive to GPe neurons, and that it is unique in its cell-targeting properties.

It is established that PV⁺ neurons cluster in the dorsolateral regions of the GPe (Hernández et al., 2015; Saunders et al., 2016; Karube et al., 2019; Abecassis et al., 2020). In addition, anatomic tracing studies indicate that the STN input to the GPe is topographically organized (Iwamuro, 2011; Nambu, 2011). It is possible that the observed differences in the measured strength of input from STN to PV⁺ neurons and to Npas1⁺ neurons were because of sampling bias across different spatial subdomains within the GPe. To this end, neighboring tdTomato⁺ and tdTomato⁻ neurons (<150 μm apart) in both PV-L-tdTom (PV-Cre;LSL-tdTom) and Npas1-Cre-tdTom mice were sampled. EPSC amplitudes in PV⁺ neurons were consistently larger than those in PV⁻ neurons (PV⁺: 674.01 ± 174.47 pA, *n* = 34 neurons; PV⁻: 136.38 ± 60.94 pA, *n* = 12 neurons, *p* < 0.0001; Fig. 6d). On



Movie 5. *In vivo* light delivery in *Npas1-Cre-tdTom* mice transduced with a control AAV (eYFP only) did not affect locomotion. Representative movie of a mouse in an open field arena showing the lack of effect in locomotion upon light delivery to *Npas1*⁺ neurons with no opsin expression. Behavior from two consecutive trials is shown. Video is shown at 2× speed. [View online]

the other hand, *Npas1*⁺ neurons had smaller EPSC amplitudes than *Npas1*[−] neurons (*Npas1*⁺: 128.30 ± 63.05 pA, *n* = 41 neurons; *Npas1*[−]: 784.82 ± 191.25 pA, *n* = 16 neurons; *p* < 0.0001; Fig. 6*d*).

STN–PV⁺ input is weakened in a chronic model of PD

The STN–GPe network shows abnormally synchronized oscillations in both patients and animal models of PD (Hurtado et al., 1999; Plenz and Kital, 1999; Brown, 2006). Critically, both STN lesioning and deep brain stimulation abolish these pathologic oscillatory activities and have profound therapeutic benefits in alleviating the motor symptoms of PD (Bergman et al., 1990; Hurtado et al., 1999; Vitek et al., 2004, 2012; Brown, 2006; Kühn et al., 2006). Despite the clinical importance of the STN–GPe network, biophysical description of the alterations of the STN–GPe input in PD remains to be established. To this end, we examined the STN input to PV⁺ neurons and *Npas1*⁺ neurons in the chronic 6-OHDA lesion model of PD. Similar to the observations in naïve mice, the STN input to PV⁺ neurons was stronger than that to *Npas1*⁺ neurons in chronic 6-OHDA-lesioned mice, as measured by EPSC amplitude. Importantly, STN input to PV⁺ neurons was selectively reduced in chronic 6-OHDA-lesioned mice (469.12 ± 154.33 pA, *n* = 36 neurons, *p* < 0.0001; Fig. 6*a–d*); this difference was observed across a range of stimulation intensities. On the contrary, STN input to *Npas1*⁺ neurons did not show a detectable difference (113.28 ± 58.47 pA, *n* = 37 neurons, *p* = 0.91; Fig. 6*a–d*).

The neuronal makeup of the STN is generally thought to be homogeneous (Yelnik and Percheron, 1979; Hammond and Yelnik, 1983; Saunders et al., 2016). However, recent studies show that STN neurons are more heterogeneous than previously expected (Sato et al., 2000b; Koshimizu et al., 2013; Xiao et al., 2015; Papathanou et al., 2019). Although single axons can display target cell-specific properties (Shigemoto et al., 1996; Patel et al.,

2013; Sun et al., 2018), an alternative explanation for the differences in the STN input to PV⁺ neurons and *Npas1*⁺ neurons in naïve mice and their alterations in chronic 6-OHDA-lesioned mice could be because of cell-specific alterations in postsynaptic receptor properties. Therefore, we biophysically isolated AMPA and NMDA receptor-dependent currents to measure their relative contribution to the synaptic responses to STN input in PV⁺ neurons and *Npas1*⁺ neurons. In addition, we compared these AMPA and NMDA measurements to those of a well studied glutamatergic synapse—the corticostriatal synapse (Fig. 6*e,f*). In naïve mice, both AMPA and NMDA receptor-mediated EPSCs in PV⁺ neurons were larger than those in *Npas1*⁺ neurons (AMPA current: PV⁺ = 685.06 ± 155.03 pA, *n* = 22 neurons; *Npas1*⁺ = 141.11 ± 45.53 pA, *n* = 18 neurons; *p* < 0.0001; NMDA current: PV⁺ = 172.30 ± 54.26 pA, *n* = 22 neurons; *Npas1*⁺ = 62.19 ± 38.39 pA, *n* = 18 neurons; *p* < 0.0001; Fig. 6*g*). The AMPA–NMDA ratio of PV⁺ neurons was also larger than that in *Npas1*⁺ neurons (PV⁺ = 3.96 ± 0.82, *n* = 22 neurons; *Npas1*⁺ = 1.82 ± 0.45, *n* = 18 neurons; *p* < 0.0001). The AMPA–NMDA ratio of the STN–PV⁺ input was larger than that observed at the corticostriatal synapse (dSPN = 3.09 ± 0.40, *n* = 10 neurons, *p* = 0.014; iSPN = 2.14 ± 0.52, *n* = 16 neurons; *p* < 0.0001; Fig. 6*e,f*). The difference in the AMPA–NMDA ratio in PV⁺ neurons and *Npas1*⁺ neurons indicates that different receptor complements mediate the transmission.

Both AMPA and NMDA receptor-mediated currents in PV⁺ neurons were reduced in chronic 6-OHDA-lesioned mice compared with naïve mice (AMPA current = 430.79 ± 150.51 pA, *n* = 27 neurons, *p* = 0.00030; NMDA current = 116.94 ± 54.69 pA, *n* = 27 neurons, *p* = 0.024; Fig. 6*g*). This appeared to be a coordinated regulation, as the AMPA–NMDA ratio was not statistically different in chronic 6-OHDA-lesioned mice (PV⁺ = 3.62 ± 0.55, *n* = 27 neurons, *p* = 0.18; Fig. 6*g*). In contrast with the findings in PV⁺ neurons, AMPA and NMDA receptor-mediated currents were not statistically different in *Npas1*⁺ neurons following chronic 6-OHDA lesioning (AMPA current = 122.07 ± 52.31 pA, *n* = 17 neurons, *p* = 0.73; NMDA current = 84.35 ± 33.69 pA, *n* = 17 neurons, *p* = 0.81; Fig. 6*g*).

Given the decrease in the functional connectivity of the STN–PV⁺ input, we expect to see a correlated anatomic alteration following chronic 6-OHDA lesion. Contrary to our prediction, we did not find an expected decrease in the density of STN axonal fibers in the GPe following the chronic 6-OHDA lesioning (naïve, lateral = 3.61 ± 0.23 × 10⁷ a.u., *n* = 6 mice; 6-OHDA, lateral = 3.89 ± 0.63 × 10⁷ a.u., *n* = 7 mice; *p* = 0.23; naïve, intermediate = 3.80 ± 0.90 × 10⁷ a.u., *n* = 6 mice; 6-OHDA, intermediate = 4.21 ± 0.26 × 10⁷ a.u., *n* = 8 mice; *p* = 0.75; naïve, medial = 2.40 ± 0.88 × 10⁷ a.u., *n* = 6 mice; 6-OHDA, medial = 2.66 ± 0.47 × 10⁷ a.u., *n* = 8 mice; *p* = 0.66; Fig. 7*a,b*). As the density of the STN–GPe axons may not be tightly associated with the number of release sites, we then examined the abundance of putative STN–GPe boutons. By measuring density of eYFP-labeled puncta that were immunopositive for VGluT2, we found a decrease in the density of STN presynaptic boutons in the GPe following chronic 6-OHDA lesioning (naïve = 5.1 ± 0.98 counts/μm³, *n* = 15 sections; 6-OHDA = 3.6 ± 1.2 counts/μm³, *n* = 17 sections; *p* = 0.028; Fig. 7*c*). This finding corroborated the decrease in both AMPA and NMDA receptor currents in PV⁺ neurons (Fig. 6*g*). Although alterations in the release probability and quantal properties of the STN–GPe synapse cannot be excluded, our data collectively show a loss of STN–GPe connectivity in a chronic model of PD.

In light of the difference in the STN input to the two GPe neuron classes, we examined whether STN input also causes distinct changes in the activity of PV⁺ neurons and Npas1⁺ neurons. We monitored the firing of GPe neurons in response to optogenetic stimulation of the STN input. Consistent with our prior work (Hernández et al., 2015; Abecassis et al., 2020), PV⁺ neurons and Npas1⁺ neurons have distinct basal activity levels (PV⁺: baseline_{naïve} = 18.26 ± 3.74 Hz, *n* = 23 neurons; Npas1⁺: baseline_{naïve} = 8.91 ± 2.97 Hz, *n* = 10 neurons; *p* < 0.0001; Fig. 8*a,b*). In response to optogenetic stimulation of the STN input, both PV⁺ neurons and Npas1⁺ neurons showed increases in their firing (PV⁺: stim_{naïve} = 51.48 ± 6.93 Hz, *n* = 23 neurons, *p* < 0.0001; Npas1⁺: stim_{naïve} = 31.68 ± 4.95 Hz, *n* = 10 neurons, *p* = 0.0020; Fig. 8*a,b*). In naïve mice, the fold change in the firing of PV⁺ neurons and Npas1⁺ neurons with STN stimulation was not different (PV⁺ naïve: +3.09 ± 0.90-fold, *n* = 23 neurons; Npas1⁺ naïve: +3.87 ± 1.13-fold, *n* = 10 neurons; *p* = 0.48). In 6-OHDA lesioning, optogenetic stimulation of STN input also resulted in increases in the firing of PV⁺ neurons and Npas1⁺ neurons (PV⁺: baseline_{6-OHDA} = 20.79 ± 2.97 Hz, stim_{6-OHDA} = 50.49 ± 9.90 Hz, *n* = 15 neurons, *p* < 0.0001; Npas1⁺: baseline_{6-OHDA} = 5.94 ± 1.98 Hz, stim_{6-OHDA} = 16.83 ± 4.95 Hz, *n* = 11 neurons, *p* = 0.0010). Consistent with a weakening of the STN–PV⁺ input, PV⁺ neurons showed a selective reduction in the fold change of firing following a chronic 6-OHDA lesion (PV⁺: stim_{naïve} = +3.09 ± 0.90-fold, *n* = 23 neurons; stim_{6-OHDA} = +2.38 ± 0.27-fold, *n* = 15 neurons; *p* = 0.0049; Fig. 8*a,b*). In contrast, Npas1⁺ neurons did not show a change in the fold change in their firing between naïve and chronic 6-OHDA lesions (Npas1⁺: stim_{naïve} = +3.87 ± 1.13-fold, *n* = 10 neurons; stim_{6-OHDA} = +2.98 ± 0.96-fold, *n* = 11 neurons; *p* = 0.24).

Stimulation of PV⁺ neurons lessens hypokinetic symptoms

In agreement with the established relationship between STN activity and movement suppression (Hamani et al., 2004; Aron and Poldrack, 2006; Aron et al., 2007; Eagle et al., 2008; Schmidt

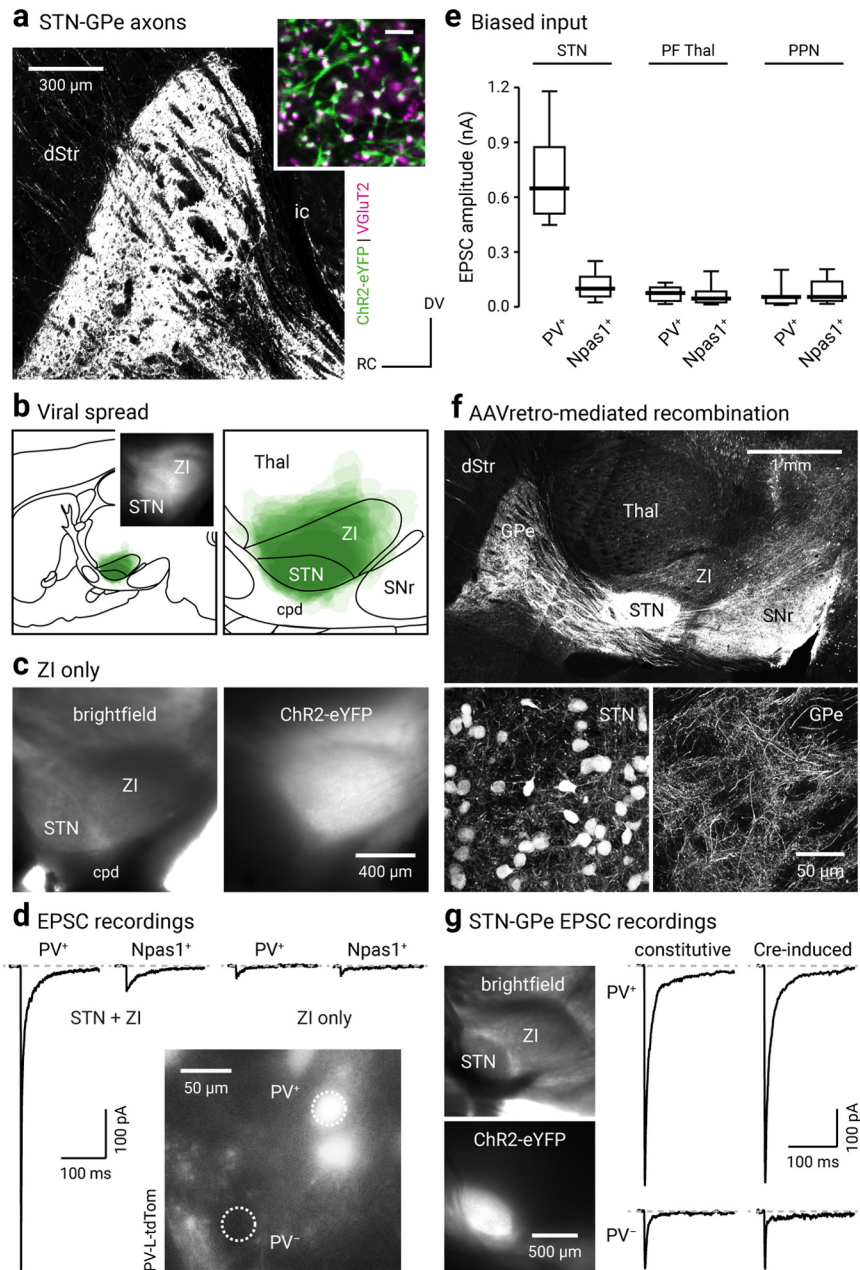


Figure 5. STN input is biased toward PV⁺ neurons. **a**, A confocal micrograph of a sagittal brain section showing eYFP-labeled STN axons in the GPe (in a mouse injected with a ChR2-eYFP AAV in the STN). Inset, High-magnification confocal micrograph showing the spatial relationship between eYFP-labeled STN axons (green) and Vglut2 (magenta) labeling. Scale bar, 5 μ m. **b**, Left, A schematic showing viral spread overlaid for each subject (*n* = 9) used for *ex vivo* experiments. Inset, A representative epifluorescent image of a parasagittal acute brain slice showing the expression of ChR2-eYFP in the STN and the neighboring areas. Right, A magnified view of transduced areas is shown. **c**, Left, A bright-field image of a parasagittal acute brain slice showing STN and ZI. Right, eYFP signal from the same slice showing transduction only in the ZI but not the STN. **d**, EPSCs recorded in PV⁺ neurons and Npas1⁺ neurons in mice that had STN + ZI and ZI only transductions. Inset, Epifluorescence image from *ex vivo* tissue showing the GPe of a PV-L-tdTom mouse with tdTomato⁺ (PV⁺) and tdTomato⁻ (PV⁻) neurons within the same field. **e**, Box plots summarizing the amplitude of EPSCs recorded in PV⁺ neurons and Npas1⁺ neurons with optogenetic stimulation of terminals from the STN, the PF Thal, or the PPN. **f**, Top, A montage of confocal micrographs from a sagittal brain section in a mouse injected with AAV_{retro}-Cre in the SNr, along with CreOn ChR2-eYFP-expressing AAVs in the STN. These images show that eYFP-labeled STN axons are arborized throughout the GPe and SNr. Bottom, High-magnification images showing eYFP-labeled neurons in the STN (left) and their axons in the GPe (right). **g**, Left, CreOn expression of ChR2-eYFP in the STN (top), where eYFP labeling (bottom) is localized within the STN. Right, Representative EPSC recordings from voltage-clamped PV⁺ neurons (top) and PV⁻ neurons (bottom) in mice with constitutive (left) or CreOn (right) expression of ChR2-eYFP in the STN. cpd, Cerebral peduncle; ic, internal capsule.

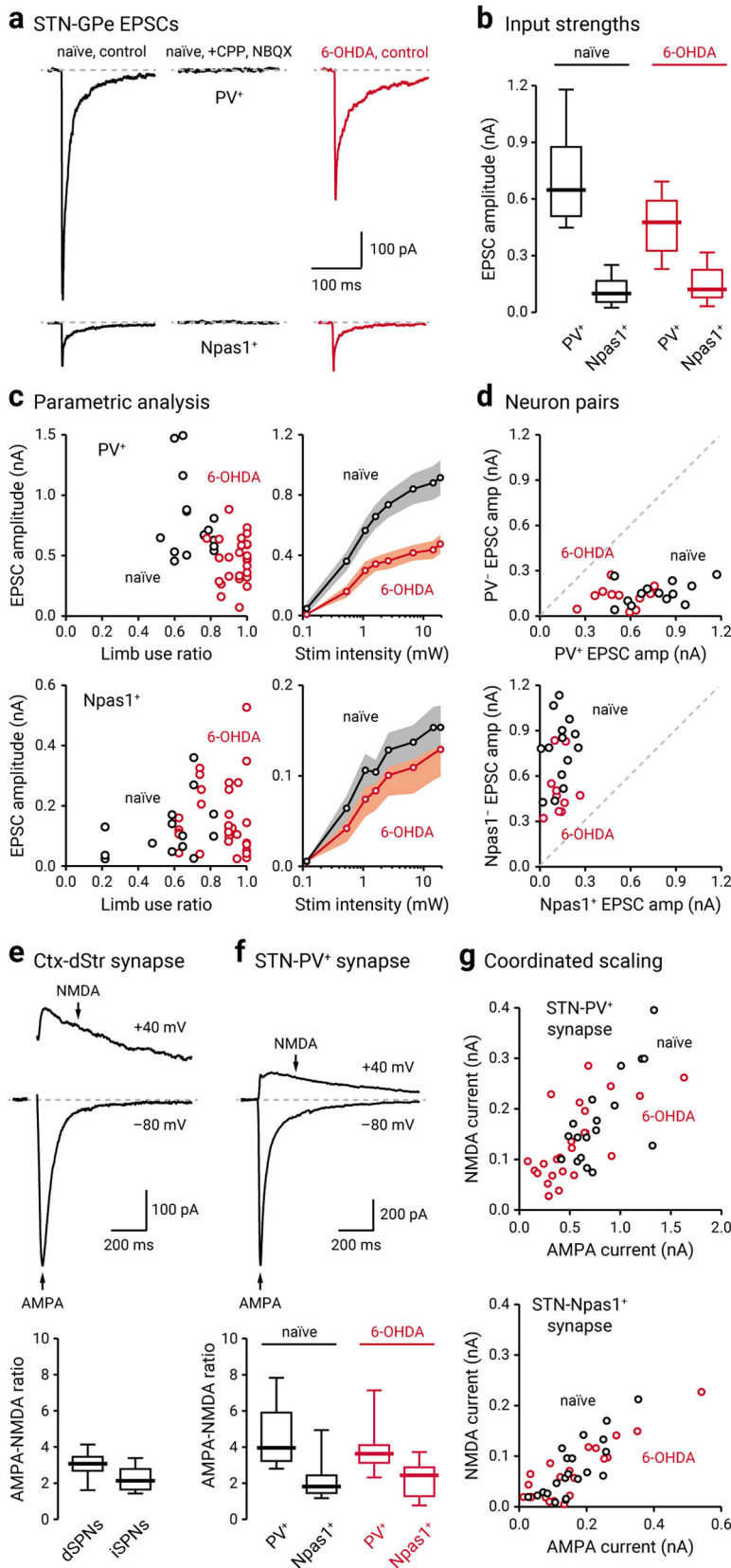


Figure 6. STN–GPe input is weakened following chronic 6-OHDA lesion. **a**, Left, Representative voltage-clamped recordings of a PV⁺ neuron (top) and a Npas1⁺ neuron (bottom) in naïve mice (black) showing that optogenetic stimulation of STN terminals evoked EPSCs. Middle, Application of CPP (10 μM) and NBQX (5 μM) completely eliminated the evoked EPSCs. Right, Representative EPSC recordings from a voltage-clamped PV⁺ neuron and a Npas1⁺ neuron in a chronic 6-OHDA lesioned mouse (red). **b**, Population data showing EPSC amplitudes measured from PV⁺ neurons and Npas1⁺ neurons. Data from naïve (black) and chronic 6-OHDA-lesioned (red) mice are shown. **c**, Left, EPSC amplitudes of PV⁺ neurons

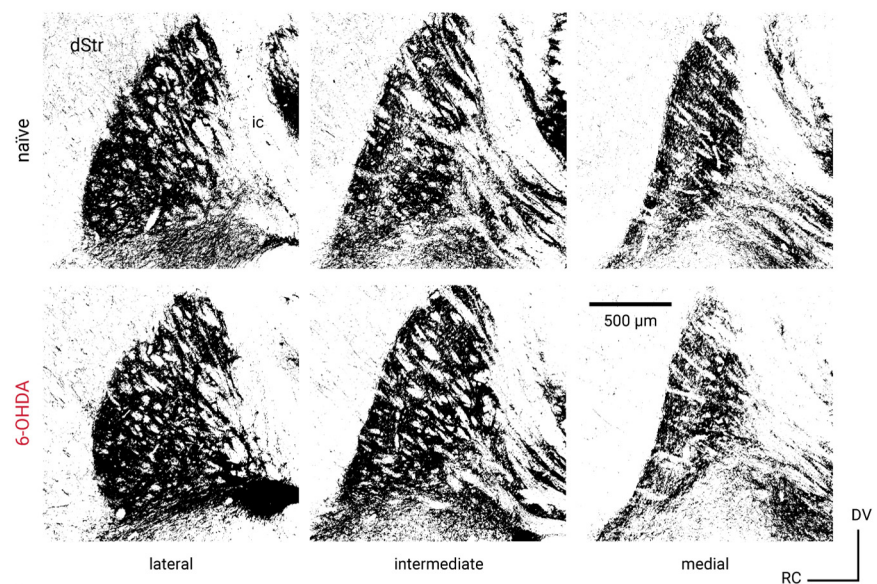
et al., 2013; Schweizer et al., 2014; Fife et al., 2017; Wessel and Aron, 2017; Adam et al., 2020), both direct recordings and theoretical models assert that the hypokinetic symptoms of PD are a result of excessive STN activity (Albin et al., 1989; Bergman et al., 1994, 1998; Wichmann and DeLong, 1996; Obeso et al., 2000; DeLong and Wichmann, 2007, 2015; Zaidel et al., 2009; Sharott et al., 2014; McGregor and Nelson, 2019). Importantly, lesioning and inactivation studies from animal models of PD further support this idea (Levy et al., 2001; Yoon et al., 2014; but see McIver et al., 2019). In other words, the weakening of the STN–PV⁺ input that we observed in the chronic 6-OHDA-lesioned mice *ex vivo* can thus be a form of homeostatic scaling in response to the increased excitatory drive. However, this alteration may, in fact, be maladaptive as it would lead to decreased inhibitory output from the GPe to the STN. The resultant increased STN activity would in turn lead to motor suppression. If our interpretation is correct, then optogenetic stimulation of PV⁺ neurons, which would inhibit STN neurons, should restore motor activity in chronic 6-OHDA-lesioned mice. Chronic 6-OHDA-lesioned mice have a reduced basal ambulatory activity (naïve =

(top) or Npas1⁺ neurons (bottom) were plotted against limb use ratio, which provides a measure of the extent of the lesion. Each marker indicates a cell. Right, Input–output curves from EPSCs measured from PV⁺ neurons (top) and Npas1⁺ neurons (bottom). Each circle represents the mean EPSC amplitude measured at a particular light intensity, and the shaded area indicates SEM. **d**, Top, EPSC amplitudes measured from neighboring (within 150 μm apart) PV⁺ neurons and PV[−] neurons in naïve (black) and chronic 6-OHDA-lesioned (red) PV-L-tdTom mice. Bottom, EPSC amplitudes measured from neighboring Npas1⁺ neurons and Npas1[−] neurons in naïve (black) and chronic 6-OHDA-lesioned (red) Npas1-Cre-tdTom mice. Each marker represents a pair of positively and negatively identified neurons recorded from the same field. The dashed line represents the unity line. **e**, Top, Representative synaptic responses from a voltage-clamped SPN in the dStr. Corticostriatal (Ctx–Str) EPSCs were evoked with electrical stimulation; stimulus artifacts are not displayed. The gray line represents the baseline. Neurons were voltage clamped at −80 and +40 mV to measure AMPA and NMDA receptor-dependent currents, respectively. The stimulation artifact was removed for clarity. Bottom, Population data for AMPA–NMDA ratio in dSPNs and iSPNs. **f**, Top, Representative synaptic responses from a voltage-clamped PV⁺ neuron. EPSCs were measured with optogenetic stimulation of STN input. Note the relatively small NMDA current in the STN–PV⁺ input. Bottom, Population data for AMPA–NMDA ratio in PV⁺ neurons and Npas1⁺ neurons with stimulation of STN terminals in naïve (black) and chronic 6-OHDA-lesioned (red) mice. **g**, The relationship between NMDA current and AMPA current in PV⁺ neurons (top) and Npas1⁺ neurons (bottom); with stimulation of STN input) in naïve (black) and chronic 6-OHDA-lesioned (red) mice. Each marker represents a cell.

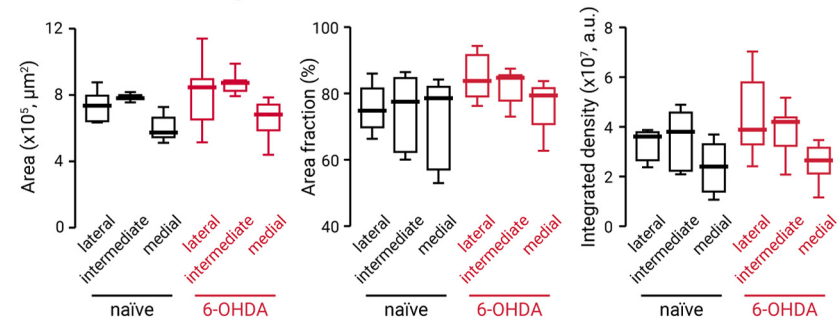
2.62 ± 0.86 cm/s, $n = 12$ mice; 6-OHDA = 1.72 ± 0.38 cm/s, $n = 14$ mice; $p = 0.011$), as expected. Under this condition, Chr2-mediated stimulation of PV⁺ neurons increased locomotion in chronic 6-OHDA-lesioned mice (patterned: $+0.48 \pm 0.26$ -fold, $n = 14$ mice, $p = 0.00037$; sustained: $+0.72 \pm 0.20$ -fold, $n = 14$ mice, $p = 0.00012$); the extent of the increase was comparable to that observed in naïve mice (patterned: $p = 0.89$; sustained: $p = 0.82$; Fig. 8*c,d*). Moreover, stimulation of PV⁺ neurons in 6-OHDA-lesioned mice induced motor responses similar to the spontaneous ambulatory activity in naïve mice (naïve_{pre} = 2.62 ± 0.86 cm/s, $n = 12$ mice; 6-OHDA_{light} = 2.57 ± 0.69 cm/s, $n = 14$ mice, $p = 0.22$). As shown in Figure 8*e*, although the net locomotor activity during the light-period was distinct from its pre-period level in chronic 6-OHDA-lesioned mice, there was an increased number of trials that showed a negative change (i.e., data points that are below unity in the x - y scatter plots) in locomotion following the chronic 6-OHDA lesion (naïve: 44 of 230 trials, 19.1%; 6-OHDA: 76 of 280 trials, 27.1%; $p = 0.036$). Furthermore, the strong negative relationship between pre-period speed and the increase in locomotion that was observed in naïve mice was more pronounced in chronic 6-OHDA-lesioned mice. As described by a logistic regression function, the probability of observing an increased locomotion is reduced following the chronic 6-OHDA lesion (Fig. 8*e*, Table 4).

It has been shown previously that the stimulation of PV⁺ neurons produced persistent motor promotion in an acute 6-OHDA lesion model (Mastro et al., 2017). However, it was unknown whether the lasting response can be generalized to the chronic 6-OHDA lesion model. Here, we examined whether the motor effects induced by optogenetic stimulation in PV⁺ neurons were a function of previous stimulation history. As illustrated in Figure 9*a*, while motor promotion was readily observed (in both naïve and chronic 6-OHDA-lesioned mice) when PV⁺ neurons were optogenetically stimulated, the magnitude of the effect was not associated with the order of the trials. This was determined by examining the trial number as a predictor variable in a logistic regression model. Critically, this analysis shows that repetitive optogenetic stimulation of PV⁺ neurons did not lead to a sustained elevation of locomotor activity in the chronic 6-OHDA-lesioned mice. This inference was

a STN-GPe axons



b STN-GPe fiber density



c STN-GPe terminals

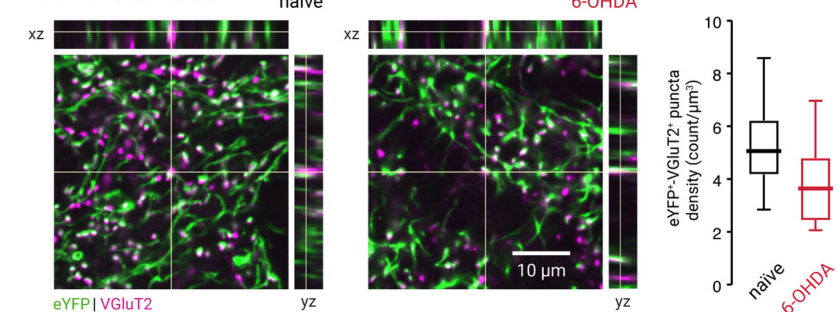


Figure 7. The cell type specificity of STN-GPe EPSCs is not because of topographical biasing. *a*, Confocal micrographs showing the GPe in sagittal brain sections of a naïve (top, black) or chronic 6-OHDA-lesioned (bottom, red) C57BL/6J mice with Chr2-eYFP-expressing AAVs in the STN. Lateral, intermediate, and medial sections of the GPe are shown. ic, Internal capsule. *b*, Analysis of the eYFP signal in naïve (black) and chronic 6-OHDA-lesioned (red) mice. The surface area of the GPe (left), the percentage area covered by the eYFP signal (middle), and the integrated density of the eYFP signal (right) are quantified. *c*, Left and middle, Confocal micrographs showing the density of eYFP- and VGlut2-immunoreactive elements in the GPe from naïve and chronic 6-OHDA-lesioned mice. Breakout panels show orthogonal x - z projection (top) and y - z projection (right). Crosshairs indicate the pixel of interest. The colocalization of the signals is shown as white. Right, Box plots summarize the density of putative STN-GPe terminals in naïve (black) and chronic 6-OHDA-lesioned (red) mice.

confirmed by extending the time window for monitoring motor activity before and after the entire set of optogenetic stimuli in a subset of mice; the locomotion speed was not statistically different before and after the stimuli (pre_{5min}: 0.96 ± 0.29 cm/s, post_{5min}: 1.07 ± 0.15 cm/s, $n = 4$, $p = 0.89$; Fig. 9*b*). Last, a summary of motor effects under different conditions is tabulated in Table 5.

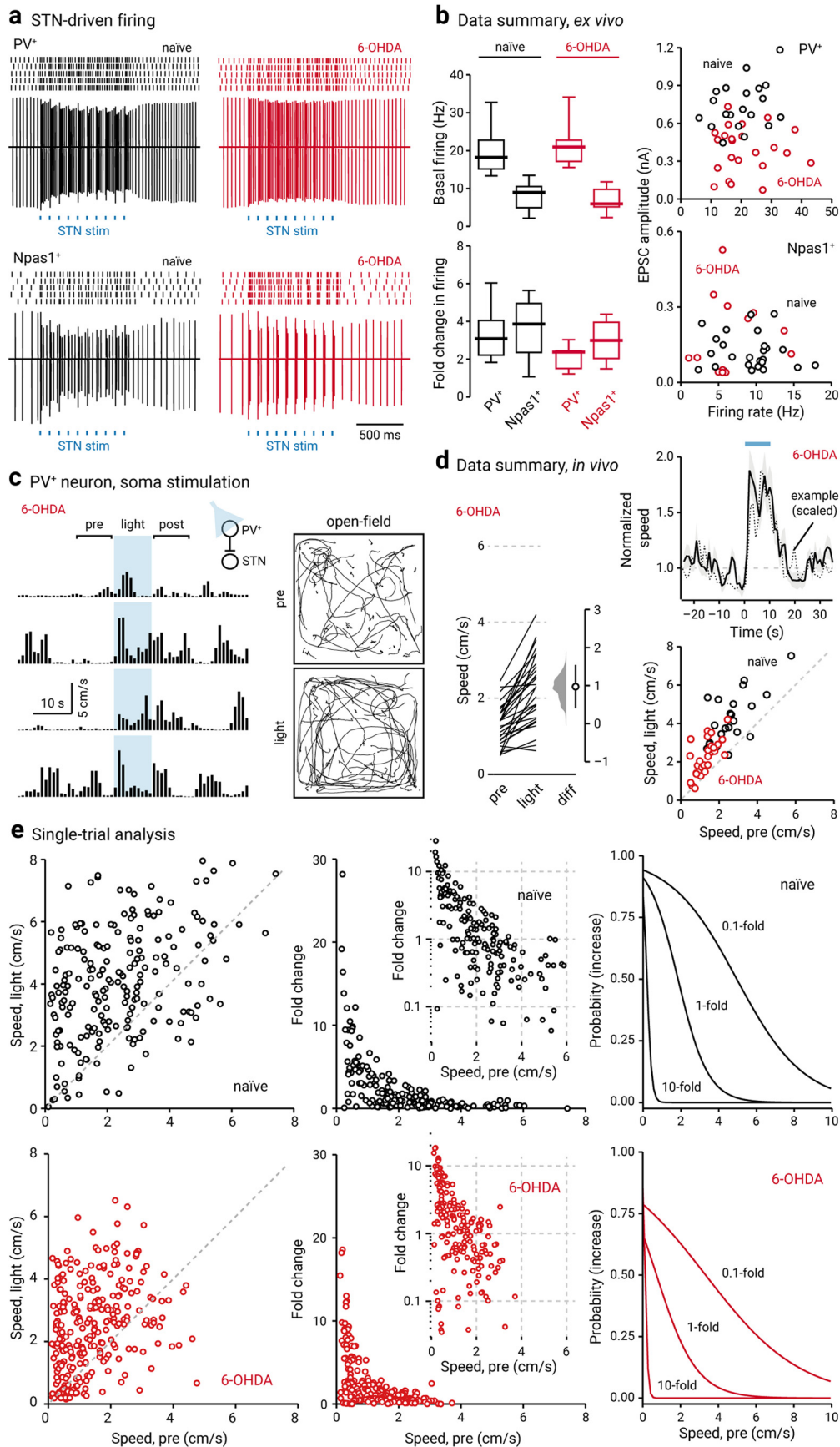


Figure 8. PV⁺ neuron stimulation lessens hypokinetic symptoms. **a**, Top, Representative cell-attached recordings from PV⁺ neurons in naïve (left, black) and chronic 6-OHDA-lesioned (right, red) mice. Raster plots show trials from a single PV⁺ neuron, where each raster corresponds to an action potential. Bottom, Representative cell-attached recordings from Npas1⁺ neurons in naïve (left, black) and 6-OHDA-lesioned (right, red) mice. Each blue square represents a 2 ms light pulse delivered to the GPe. **b**, Left, Box plots summarizing the population data from naïve

Discussion

In this study, by optogenetically manipulating the activity of specific GPe neuron subpopulations and their downstream targets, we concluded that PV⁺ neurons are movement promoting and Npas1⁺ neurons are movement inhibiting. Consistent with their distinct functional roles, *ex vivo* electrophysiology further delineated that the STN input biased toward PV⁺ neurons. By using a chronic model of PD, we showed that PV⁺ neurons play an important role in the hypokinetic symptoms of the disease. Accordingly, optogenetic stimulation of PV⁺ neurons restored mobility.

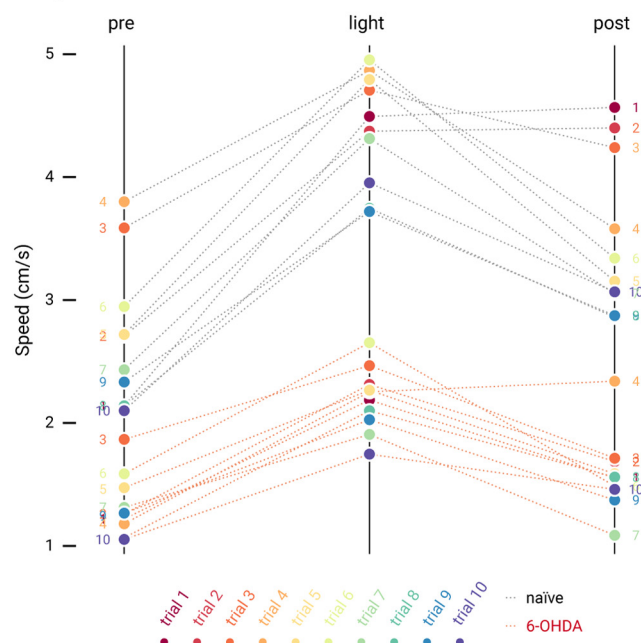
GPe neuron subtypes have opposing roles in motor control

Historically, it has been challenging to assign specific functional roles to the GPe. Lesioning or inactivation studies were largely inconclusive, as these manipulations did not result in a consistent motor phenotype (Norton, 1976; Ossowska et al., 1983; Schneider and Olazabal, 1984; Hauber et al., 1998; Joel et al., 1998; Konitsiotis et al., 1998; Soares et al., 2004; Hegeman et al., 2016). A large number of studies showed that GPe neurons change their activity in relation to movement; however, the identity of the recorded neurons was unknown (DeLong, 1971; Anderson, 1978; Anderson and Horak, 1985; DeLong et al., 1985; Mitchell et al., 1987; Filion et al., 1988; Nambu et al., 1990; Mink and Thach, 1987, 1991a,b; Mushiaki and Strick, 1995; Parent and Hazrati, 1995; Kimura et al., 1996; Arkadir et al., 2004; Shin and Sommer, 2010; Schmidt et al., 2013; Yoshida and Tanaka, 2016; Gu et al., 2020; Mullie et al., 2020). It has been shown that identified GPe neuron subtypes can display diverse changes in their activity during spontaneous body movements (Dodson et al., 2015). Collectively, these observational studies

←

(left, black) and chronic 6-OHDA-lesioned (right, red) mice. Right, Scatter plots showing the relationship between EPSC amplitude and spontaneous firing rate of PV⁺ neurons (top) and Npas1⁺ neurons (bottom). Data from both naïve (black) and chronic 6-OHDA-lesioned (red) mice are displayed. Each marker indicates a cell. **c**, Open field activity in response to optogenetic stimulation of PV⁺ neurons in the GPe in chronic 6-OHDA-lesioned mice. Left, A representative example of locomotion activity of a chronic 6-OHDA-lesioned mouse across four individual trials. The schematic diagram shows the cell type and site of light delivery. Pre-period indicates 10 s before light delivery; post-period indicates 10 s after light delivery. Blue shaded area indicates the duration (10 s) of light delivery. Right, Movement tracks corresponding to the pre-period (top) and light-period (bottom). Six representative mice (10 trials from each) are presented. **d**, Left, Slopegraph showing the response of each mouse on optogenetic stimulation of PV⁺ neurons in chronic 6-OHDA-lesioned mice. The slope of each line shows the magnitude and direction of change. Median difference is plotted on a floating axis. The smoothed density represents bootstrap resampled data. The median difference is indicated as a circle, and the 95% confidence interval is indicated by the length of vertical lines. Top, right, A plot showing the relationship between normalized speed and time. Blue bar indicates the duration (10 s) of light delivery. The dotted horizontal line indicates the normalized baseline motor activity level. Black solid trace is the average distance from all mice; the shaded area shows the SEM. Black dotted trace is a representative example from a single mouse; data were scaled to facilitate comparison. Bottom, right, Speed during light-period against speed during pre-period. Data from chronic 6-OHDA-lesioned PV-Cre mice expressing ChR2-eYFP are displayed. Each marker represents a mouse. The diagonal line indicates unity (i.e., $x=y$). 6-OHDA data points are systematically shifted upward relative to unity. Locomotor activity was higher with light delivery. Naïve data (black) from Figure 1b are replotted for comparison. **e**, Left, Scatter plots showing the pairwise relationship between speed during light-period and speed during pre-period in naïve (top) and chronic 6-OHDA-lesioned PV-Cre mice are shown (bottom). To facilitate comparison, data from naïve mice are replotted (top). The diagonal line indicates unity. Middle, Fold change in speed with light delivery against speed during pre-period from PV-Cre mice expressing ChR2-eYFP. Each marker represents a trial. Inset, Same data displayed with fold increase on y-axis on a log scale. Right, Logistic regression curves fitted to data for 0.1-fold, 1-fold, and 10-fold increases are displayed.

a Hysteresis



b Extended monitoring

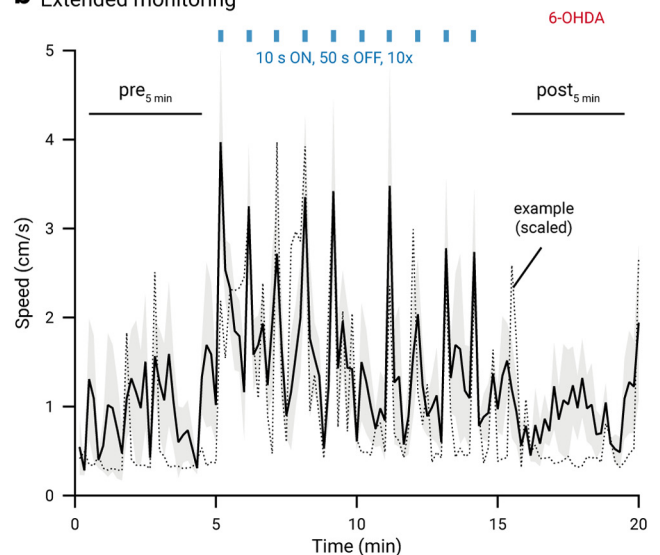


Figure 9. No persistent motor effects are induced by *in vivo* optogenetic stimulation in chronic 6-OHDA-lesioned mice. **a**, Slopegraph showing the size and reversibility of motor responses induced by optogenetic stimulation of PV⁺ neurons in naïve (gray dotted lines) and chronic 6-OHDA-lesioned mice (red dotted lines). Ten trials (circles) were run on each mouse. Trial numbers are denoted by colors (rainbow). Data from sustained (naïve: $n=12$; 6-OHDA: $n=14$) and patterned (naïve: $n=12$; 6-OHDA: $n=14$) stimulation are combined. The slope of each line shows the magnitude and direction of change. **b**, A plot showing the motor activity of a subset of chronic 6-OHDA-lesioned mice ($n=4$) across time. Blue bar indicates the duration of light delivery; 10 stimuli were delivered. Black solid trace is the average distance from all mice; the shaded area shows the SEM. Black dotted trace is a representative example from a single mouse; data were scaled to facilitate comparison.

strongly argue that GPe neurons are involved in motor control. Our data, along with our previous study, unambiguously demonstrate the causality between the activity of GPe neurons and locomotion (Glajch et al., 2016)—and importantly the opposing roles

Table 5. Statistical summary for behavioral analyses

Strain, manipulation	Transgene	Injection site	Light delivery	Stim paradigm	Speed, pre (cm/s) Median ± MAD	Speed, light (cm/s) Median ± MAD	Fold change Median ± MAD	Sample size (n, mice)	Statistical analysis ^d	
									p Value ^e (Wilcoxon test)	p Value ^f (Mann–Whitney U test)
PV-Cre ^{a,b}	Chr2-eYFP	GPe	GPe	Patterned ^c	2.48 ± 0.64	3.54 ± 0.56	+0.52 ± 0.31	11	0.0049	
PV-Cre ^{a,b}	Chr2-eYFP	GPe	GPe	Sustained ^c	2.52 ± 0.68	4.47 ± 0.72	+0.79 ± 0.28	12	0.00049	
PV-Cre	eYFP	GPe	GPe	Patterned	2.88 ± 0.55	3.00 ± 0.86	−0.04 ± 0.25	13	0.84	0.022^g
PV-Cre	eYFP	GPe	GPe	Sustained	2.82 ± 0.60	2.48 ± 0.50	−0.14 ± 0.20	13	0.17	<0.0001^g
PV-Cre	sham	GPe	GPe	Patterned	2.06 ± 0.25	2.25 ± 0.38	+0.11 ± 0.20	11	0.28	0.013^g
PV-Cre	sham	GPe	GPe	Sustained	2.16 ± 0.82	2.25 ± 0.79	−0.16 ± 0.31	9	0.91	0.0075^g
PV-Cre, 6-OHDA ^b	Chr2-eYFP	GPe	GPe	Patterned	1.24 ± 0.39	1.84 ± 0.64	+0.48 ± 0.26	14	0.00037	0.89 ^g
PV-Cre, 6-OHDA ^b	Chr2-eYFP	GPe	GPe	Sustained	1.40 ± 0.50	2.57 ± 0.69	+0.72 ± 0.20	14	0.00012	0.82 ^g
Npas1-Cre-tdTom ^a	Chr2-eYFP	GPe	GPe	Patterned ^c	2.98 ± 0.66	1.74 ± 0.56	−0.41 ± 0.10	14	<0.0001	
Npas1-Cre-tdTom ^a	Chr2-eYFP	GPe	GPe	Sustained ^c	3.04 ± 0.56	2.08 ± 0.41	−0.37 ± 0.09	16	0.00024	
Npas1-Cre-tdTom	eYFP	GPe	GPe	Patterned	2.95 ± 0.41	3.08 ± 0.40	+0.06 ± 0.19	11	0.83	0.00018^h
Npas1-Cre-tdTom	eYFP	GPe	GPe	Sustained	2.89 ± 0.36	2.74 ± 0.56	−0.13 ± 0.15	10	0.13	0.0089^h
Npas1-Cre-tdTom	sham	GPe	GPe	Patterned	2.43 ± 0.58	2.52 ± 0.42	+0.02 ± 0.08	6	>0.99	0.0008^h
Npas1-Cre-tdTom	sham	GPe	GPe	Sustained	3.16 ± 0.23	2.76 ± 0.51	+0.19 ± 0.36	6	>0.99	0.044^h
PV-Cre	Chr2-eYFP	GPe	STN	Patterned	2.81 ± 0.52	4.34 ± 1.02	+0.41 ± 0.16	10	0.0020	
PV-Cre	Chr2-eYFP	GPe	STN	Sustained	2.88 ± 0.47	5.26 ± 1.48	+0.84 ± 0.11	10	0.0020	
PV-Cre	eYFP	GPe	STN	Patterned	3.38 ± 1.09	3.36 ± 1.04	−0.00 ± 0.10	6	>0.99	0.00025ⁱ
PV-Cre	eYFP	GPe	STN	Sustained	3.77 ± 0.79	3.54 ± 0.53	−0.08 ± 0.03	6	0.31	0.00025ⁱ
Npas1-Cre-tdTom	Chr2-eYFP	GPe	dStr	Patterned	2.89 ± 0.45	1.85 ± 0.53	−0.29 ± 0.11	12	0.00049	
Npas1-Cre-tdTom	Chr2-eYFP	GPe	dStr	Sustained	2.66 ± 0.78	2.17 ± 0.62	−0.19 ± 0.14	12	0.034	
Npas1-Cre-tdTom	eYFP	GPe	dStr	Patterned	2.96 ± 0.85	2.98 ± 1.04	+0.06 ± 0.17	11	0.77	0.0006^j
Npas1-Cre-tdTom	eYFP	GPe	dStr	Sustained	2.68 ± 0.62	2.86 ± 0.97	+0.07 ± 0.16	11	0.32	0.011^j
Npas1-Cre-tdTom C57BL/6J	GtACR2	GPe	GPe	Sustained	3.19 ± 0.39	4.37 ± 0.50	+0.34 ± 0.34	9	0.020	
	GtACR2	STN	STN	Sustained	2.67 ± 0.31	4.45 ± 0.60	+0.60 ± 0.16	10	0.0020	

^aPretrial, basal ambulatory activity: PV-Cre: 2.88 ± 0.61 cm/s, n = 67 mice; Npas1-Cre-tdTom: 2.77 ± 0.54 cm/s, n = 56 mice; p = 0.91 (Mann–Whitney U test). Spontaneous locomotor activity (speed, pre-period): PV-Cre: 2.77 ± 0.61 cm/s, n = 67 mice; Npas1-Cre-tdTom: 2.82 ± 0.44 cm/s, n = 56 mice; p = 0.14 (Mann–Whitney U test). Data from all PV-Cre and Npas1-Cre-tdTom mice used in this study were pooled.

^bPretrial, basal ambulatory activity: PV-Cre, naïve: 2.62 ± 0.86 cm/s, n = 12 mice; PV-Cre, 6-OHDA: 1.72 ± 0.38 cm/s, n = 14 mice; p = 0.0037 (Mann–Whitney U test). Spontaneous locomotor activity (speed, pre-period): PV-Cre, naïve: 2.51 ± 0.62 cm/s, n = 12 mice; PV-Cre, 6-OHDA: 1.25 ± 0.35 cm/s, n = 14 mice; p = 0.000056 (Mann–Whitney U test).

^cData from patterned and sustained stimulation paradigms were compared for PV-Cre (p = 0.094) and Npas1-Cre-tdTom (p = 0.24; Mann–Whitney U test).

^dTwo-tailed p values are listed. The bold p values are significant (i.e., < 0.05). No multiple testing corrections were considered.

^eLocomotor speed during pre-period and light-period from the same mice were compared.

^fFold changes from different experimental groups were tested. Only data obtained with the same stimulation paradigm were compared.

^gData were compared with PV-Cre, Chr2-eYFP, light delivery at the GPe.

^hData were compared with Npas1-Cre-tdTom, Chr2-eYFP, light delivery at the GPe.

ⁱData were compared with PV-Cre, Chr2-eYFP, light delivery at the STN.

^jData were compared with Npas1-Cre-tdTom, Chr2-eYFP, light delivery at the dStr.

played by PV⁺ neurons and Npas1⁺ neurons. Though we have quantified immobility in this study, we do not have quantitative information about whether optogenetic manipulations may result in altered innate behaviors (e.g., rearing, grooming, and freezing) that may confound our measurements of locomotion.

Our current data showed that in naïve mice, stimulation of PV⁺ neurons and their terminals in the STN promoted movement. To our knowledge, this has not been previously reported. Consistent with the fact that STN neuron activity is associated with movement inhibition (Hamani et al., 2004; Aron and Poldrack, 2006; Aron et al., 2007; Eagle et al., 2008; Schmidt et al., 2013; Schweizer et al., 2014; Fife et al., 2017; Wessel and Aron, 2017; Adam et al., 2020), we showed that phasic inhibition (either synaptically or opsin mediated) of STN neurons is movement promoting. In addition, as the majority of PV⁺ neurons send bifurcating axons to both the STN and SNr (Kita and Kitai 1994; Bevan et al., 1998; Sato et al., 2000a; Kita, 2007; Fujiyama et al., 2016; Oh et al., 2017); from a circuit organization standpoint, the PV⁺-SNr projection is expected to reinforce the motor effects imposed by the PV⁺-STN projection. Although our

observation is in line with the findings from our laboratory and others (Glajch et al., 2016; Mallet et al., 2016), we do not fully understand the motor suppression produced by Npas1⁺ neurons. While Npas1⁺ neurons have extensive axonal arborization in the dStr, their downstream impact on the excitability of striatal projection neurons is relatively weak (Glajch et al., 2016). It is possible that additional signaling partners are involved.

In this study, we drove the activity of PV⁺ neurons or Npas1⁺ neurons by activating Chr2. These experiments were critical to establishing the causal role of PV⁺ neurons and Npas1⁺ neurons in motor control. By directing light stimulus to different brain structures, we dissected circuit elements that are involved in these motor effects. While these gain-of-function experiments caused observable motor effects, they are insufficient to conclude whether the motor effects are the native functions mediated by PV⁺ neurons and Npas1⁺ neurons. We addressed this by performing loss-of-function experiments using GtACR2 to inhibit GPe neuron subtypes or their postsynaptic targets. GPe neurons are known to form intranuclear collaterals (Kita, 1994; Nambu and Llinás, 1997; Mallet et al., 2012;

Saunders et al., 2015). As we do not yet have the tools for the selective manipulation of these local collaterals, we will need to rely on *ex vivo* studies to assess their relevance based on the connectivity principle of these local connections. By examining moment-to-moment fluctuations in movement speed, we showed that optogenetically induced motor responses did not differ from the normal range of spontaneous locomotion displayed by mice. In sum, our *in vivo* studies reinforce the notion from prior *in vivo* electrophysiological studies that GPe neurons are critical for movement.

There are several possible biological benefits to a dual system of PV⁺ neurons and Npas1⁺ neurons in the GPe. It is conceivable that the two neuron populations with opposing functions form a rheostat in controlling net motor output. However, their functional relationship may not be simply antagonistic. As both initiation and termination of motor programs are equally important and are core functions of the basal ganglia (Redgrave et al., 2010; Jahanshahi et al., 2015), it is possible that PV⁺ neurons and Npas1⁺ neurons are differentially involved in selecting voluntary versus suppressing competing motor programs. Alternatively, GPe neuron subtypes can be selectively engaged in the regulation of antagonistic muscle groups that are necessary for the execution of one specific movement. It is reasonable to think that PV⁺ neurons and Npas1⁺ neurons are both involved in the selection of competing behaviors and the combination of movements that occur either jointly or in succession. Related ideas have been proposed for striatal neurons (Mink, 1996; Klaus et al., 2017, 2019; Arber and Costa, 2018). To gain further insights into this topic, it will be important in the future to determine the temporal organization of the activity of GPe neuron subtypes in relation to movement.

The STN forms a reciprocal loop with PV⁺ neurons

In this study, we found that the STN provides a stronger input to PV⁺ neurons than to Npas1⁺ neurons. This finding is supported by a recent *in vivo* electrophysiological study showing that STN strongly targets “prototypic” neurons that are predominantly PV⁺ neurons (Ketzef and Silberberg, 2020). This is in contrast with our previous rabies-tracing study that showed a uniform STN input across GPe neuron subtypes (Hunt et al., 2018). In addition, our finding contradicts computational studies that predicted a stronger connection from the STN to a subset of Npas1⁺ neurons (Bogacz et al., 2016; Suryanarayana et al., 2019). Given the known caveats associated with rabies-based tracing (Svoboda, 2019), this discrepancy perhaps is not completely surprising. As we found a notable input from the STN to Npas1⁺ neurons, it is possible that the STN input regulates movement via sending an efference copy to the Npas1⁺ neurons in addition to the primary projection to its downstream target (i.e., PV⁺ neurons). Moreover, the difference in the AMPA-NMDA ratio of the synaptic responses in PV⁺ neurons and Npas1⁺ neurons indicates that the STN input to these neurons is mediated by different complements in the postsynaptic glutamate receptors.

Recent studies indicate the presence of heterogeneity in STN neurons (Sato et al., 2000b; Isoda and Hikosaka, 2008; Koshimizu et al., 2013; Xiao et al., 2015; Papathanou et al., 2019). It is possible that unique STN neurons provide inputs to distinct GPe neuron subpopulations. As we are only beginning to grasp the cellular heterogeneity within both the GPe and STN, additional work is needed to further our understanding of the organization of the STN–GPe network. As PV⁺ neurons form the principal inhibitory innervation to the STN (Mastro et al., 2014; Abdi et al., 2015; Hernández et al., 2015; Saunders et al., 2016),

these data collectively demonstrate a closed reciprocal feedback loop formed between the STN and PV⁺ neurons. Although earlier studies have examined the electrophysiological and anatomic properties of the STN–GPe network (Kita and Kitai, 1987, 1991; Nambu et al., 2000; Kita et al., 2004; Kita, 2007; Mastro et al., 2014; Abdi et al., 2015; Hernández et al., 2015; Saunders et al., 2016; Kovaleski et al., 2020), the cell type specificity of the STN input in the GPe was not known. Importantly, our new data add critical insights into the cellular constituents that are involved in this reciprocal loop.

In this study, we found that the STN input to PV⁺ neurons is reduced in chronic 6-OHDA-lesioned mice. These findings are consistent with the downregulation of glutamate receptors in the GPe of PD models (Porter et al., 1994; Betarbet et al., 2000; Kaneda et al., 2005). We have previously found a decrease in the ambient glutamate content in the GPe following a chronic loss of dopamine (Cui et al., 2016); our current study adds to the literature that glutamatergic signaling in the GPe is altered in PD.

STN–GPe network function and its dysfunction in the context of PD have been widely studied. Experimental and computational studies suggest that the STN–GPe network is important for the generation and amplification of oscillatory activity (Bevan et al., 2002; Gillies et al., 2002; Terman et al., 2002; Walters et al., 2007; Mallet et al., 2008; Holgado et al., 2010; Cruz et al., 2011; Tachibana et al., 2011). Abnormally synchronized β -oscillations (i.e., 15–30 Hz) in the STN–GPe network are thought to be partially responsible for the hypokinetic symptoms of PD. Abolishing the pathologic oscillatory activity by lesioning or deep-brain stimulation of the STN or the GPe has profound therapeutic benefits in alleviating motor symptoms of PD (Albin et al., 1989; Bergman et al., 1990; Hurtado et al., 1999; Vitek et al., 2004, 2012; Kühn et al., 2006; Hammond et al., 2007; Johnson et al., 2012; McGregor and Nelson, 2019). We previously observed strengthening of the GABAergic GPe input to the STN with chronic 6-OHDA lesion (Fan et al., 2012); it is now clear that this input arises from PV⁺ neurons as PV⁺ neurons are the primary source of inhibitory input to the STN (Mastro et al., 2014; Hernández et al., 2015; Abecassis et al., 2020). As the activity of the STN negatively regulates motor output (Hamani et al., 2004; Aron and Poldrack, 2006; Aron et al., 2007; Eagle et al., 2008; Schmidt et al., 2013; Schweizer et al., 2014; Fife et al., 2017; Wessel and Aron, 2017; Adam et al., 2020), a decrease in the ambient glutamate content in the GPe (Cui et al., 2016), along with a reduction in the STN–PV⁺ input, would disinhibit the STN and suppress motor output in the parkinsonian state, thus contributing to the hypokinetic symptoms of PD. On the other hand, a strengthening of the inhibitory PV⁺ input to the STN (Chu et al., 2015) would promote movement and may act as a compensatory mechanism against the hypokinetic effects of the abnormal glutamatergic signaling in the GPe in PD. How these synaptic adaptations interact will vary depending on ongoing activity of the network and the interplay of synaptic features unique to each synapse. As we attempt to make predictions based on rate-based models, we should begin to incorporate oscillation theories with our thinking to gain critical insights into basal ganglia function and dysfunction (Wichmann et al., 2011; Wilson, 2013; Little and Brown, 2014).

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